

# A Local System for Intuitionistic Logic

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**Abstract.** This paper presents systems for first-order intuitionistic logic and several of its extensions in which all the propositional rules are *local*, in the sense that, in applying the rules of the system, one needs only a fixed amount of information about the logical expressions involved. The main source of non-locality is the contraction rules. We show that the contraction rules can be restricted to the atomic ones, provided we employ *deep-inference*, i.e., to allow rules to apply anywhere inside logical expressions. We further show that the use of deep inference allows for modular extensions of intuitionistic logic to Dummett’s intermediate logic LC, Gödel logic and classical logic. We present the systems in the calculus of structures, a proof theoretic formalism which supports deep-inference. Cut elimination for these systems are proved indirectly by simulating the cut-free sequent systems, or the hypersequent systems in the cases of Dummett’s LC and Gödel logic, in the cut free systems in the calculus of structures.

**Keywords:** proof theory, intuitionistic logic, intermediate logics, deep inference, calculus of structures, locality.

## 1 Introduction

This paper presents systems for intuitionistic logic and its extensions, which are properly included in classical logic, in which all the propositional rules are *local*, in the sense of [4]. That is, in applying the rules of the system, one needs only a fixed amount of information about the logical expressions involved. For example, the usual contraction rule in sequent calculus, i.e.,

$$cL \frac{B, B, \Gamma \vdash C}{B, \Gamma \vdash C}$$

is non-local, since in order to apply the rule one has to check that two formulae are syntactically equal, and since  $B$  can be arbitrary formula, the “cost” of this checking varies with the size of  $B$ . Other examples include the (non-atomic) identity and cut rules, and the promotion rule in linear logic [11]. In [7], it is shown that it is possible to give a system for classical logic in which all the rules are local. This means in particular that the contraction, weakening, the cut and the identity rules are restricted to atomic forms. As it is shown in [5], this is difficult to achieve without some form of *deep inference*, i.e., to allow rules to apply anywhere inside logical expressions. The classical system in [7], called SKS, is presented in *the calculus of structures* [13], a formalism which allows

deep inference in a way which preserves interesting proof theoretical notions and properties. We shall use the same formalism to present the intuitionistic systems to follow.

Deep inference and locality have been shown to allow for finer analyses on proofs, in particular, proofs in the deep-inference presentation of classical logic, i.e., the system SKS, have been shown to admit non-trivial categorical [18] and geometric interpretations [14]. Classical logic is among a number of logical systems that have been presented in the calculus of structures, e.g., non-commutative extension of linear logic [13], linear logic [21] and modal logics [20]. In these systems, the above notion of locality has been consistently exhibited. However, the logical systems in the calculus of structures studied so far have been those which are symmetric, in the sense that they have involutive negations and can be presented in one-sided sequent systems. The work presented in this paper is an attempt to find “good” presentations of asymmetric (two-sided) sequent systems in the calculus of structures, where locality is one important criteria. This will hopefully lead to further categorical or geometric models for two-sided sequent proofs for intuitionistic and intermediate logics. Another advantage of adopting deep inference is that it allows for modular presentations of several extensions of intuitionistic logic, e.g., intermediate logics and classical logic: different logical systems can be obtained by adding rules which are derived straightforwardly from the axiomatic definitions of the extended systems. Our work can hopefully serve as a basis to give a uniform presentation for various intermediate logics.

We adopt the presentation of intuitionistic logic in the calculus of structures using positive and negative contexts, due to Kai Brünnler [6] and Phillippe de Groot<sup>1</sup>. Negative context corresponds to the left-hand side of a sequent and positive context corresponds to the right-hand side. In this presentation, rules are divided into negative rules, which apply under negative context, naturally, and positive rules which apply under positive context. Note that however since applying a rule would require checking for negative/positive context, the rules formalized this way are no longer local in the sense of [4]. But we can still achieve a weaker form of locality, that is, all rules that duplicate structures can be restricted to atomic forms. This system is then refined to a fully local one by exploiting the fact that all rule schemes in the system preserve polarities (see Section 6).

In Brünnler’s intuitionistic system [6], it seems difficult, if not impossible, to reduce contraction to its atomic form. This is partly due to the fact that the contraction rule in this system (as it is the case with most sequent systems for intuitionistic logic) is *asymmetric*, i.e., contraction is allowed on the left (or negative context) but not on the right (positive context), while reducing contraction to its atomic form seems to require a symmetric contraction. The solution proposed here for reducing contraction to atomic is inspired by the multiple-conclusion intuitionistic system in sequent calculus [9, 23]. In this system, contraction and weakening are allowed on both sides of the sequent. The

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<sup>1</sup> The author thanks Lutz Strassburger for pointing out the contribution of de Groot.

asymmetry of intuitionistic logic is captured by the implication rule:

$$\supset R \frac{\Gamma, A \vdash B}{\Gamma \vdash A \supset B, \Delta}$$

One can see for instance that the classical theorem of excluded middle, i.e.,  $A \vee (A \supset \perp)$ , is not provable. In the calculus of structures, this is reflected by the absence of certain “logical rules” under disjunctive context (see Section 2).

There exist numerous systems for intuitionistic and intermediate logics in the literature. These systems can be roughly divided into two categories: systems which are designed with decidability and proof search in mind, e.g., contraction-free sequent systems [16, 10], and those which are mainly concerned with generality of the formalisms, such as *labelled deduction* systems [3], hypersequents [1] and display calculi [12]. Our work is more in the latter category. In terms of expressivity, the calculus of structures is certainly at least as expressive as the non-standard sequent systems (display, hypersequents, and labelled systems), as one can simulate these systems inside cut-free systems in the calculus of structures. A common feature to these extended sequent systems is that they all employ some sort of structural extensions to sequents in order to capture various extensions of intuitionistic logic. In contrast, in the calculus of structures, there is no additional structural elements added to the proof system: one simply introduces more rules to get the extended logics. Moreover, these extended rules are derived straightforwardly from their axiomatic formulations (i.e., in Hilbert’s systems). However, one of the drawbacks of the formulation of deep inference systems in our work is that we currently have no “internal” proof of cut-elimination. Our cut-elimination proof is indirect via translations to other systems, notably, sequent and hypersequent systems. Methodology for proof search in deep inference systems is not yet fully developed, although there is some work in this direction [17].

The rest of the paper is organized as follows. In Section 2, we present an intuitionistic system with the general (non-local) contraction rules, called  $\text{S}\text{I}\text{S}\text{g}\text{q}$ . This is then followed by the soundness and completeness proof of  $\text{S}\text{I}\text{S}\text{g}\text{q}$  with respect to a multiple-conclusion sequent system for intuitionistic logic and the cut elimination proof in Section 3. Section 4 shows how to extend  $\text{S}\text{I}\text{S}\text{g}$  to cover Dummett’s LC, Gödel logic and classical logic. Cut elimination for LC and Gödel logic are proved indirectly by simulating the corresponding hypersequent systems for these logics [1, 2]. In Section 5, the system  $\text{S}\text{I}\text{S}\text{g}$  and its extensions are refined to systems in which the contraction rules are restricted to their atomic forms, but with additional *medial rules*. In Section 6 we show how to remove the context dependency in the propositional rules in all of the above logical systems, resulting in purely local systems for the propositional fragments, by introducing polarities into logical expressions. Section 7 discusses future work. Detailed proofs of the lemmas and the theorems in this paper can be found in an extended version of the paper.

## 2 An intuitionistic system in the calculus of structures

Inference rules in the calculus of structures can be seen as rewrite rules on formulae, i.e., the rules are of the form

$$\rho \frac{F\{B\}}{F\{C\}}$$

where  $\rho$  is the name of the rule,  $F\{\}$  is a formula-context and  $B$  and  $C$  are formulae. Basically, any sound implication  $B \supset C$  can be turned into a rule. The question is of course whether doing so would result in a good proof theory. The design philosophy of the calculus of structures has been centered around the concept of *interaction* and *symmetry* in inference rules. Just as the left and right rules in sequent calculus and introduction and elimination rules in natural deduction, a rule in the calculus of structures always has its dual, called its *co-rule*, which is obtained from the rule by taking the contrapositive of the implication defining the rule. The concept of interaction replaces the notion of identity and cut in sequent calculus. In classical logic [4], the interaction rules are (using the standard notation for classical formulae)

$$i\downarrow \frac{S\{\top\}}{S\{A \vee \neg A\}} \quad i\uparrow \frac{S\{A \wedge \neg A\}}{S\{\perp\}}$$

In intuitionistic logic, we shall have a slightly different notation for the interaction rules, but the idea is essentially the same: the  $i\downarrow$ -rule creates a dual pair of formulas (reading the rule top-down) while the  $i\uparrow$  rule destructs them.

In formulating the rules in the calculus of structures, one encounters certain rules which correspond to some logical equivalences in the logic being formalized. Some of the trivial equivalences, e.g., commutativity and associativity of conjunction, are more appropriately represented as equations rather than rules. We thus consider formulae modulo these equivalences. In the terms of the calculus of structures, these equivalent classes of formulae are referred to as *structures*. We shall be concerned with the following language of structures

$$S := p(t) \mid \top \mid \text{f} \mid \langle S; S \rangle \mid [S, S] \mid (S, S) \mid \forall xR \mid \exists xR$$

where  $p$  is a predicate symbol,  $t$  is a term and the rest correspond to true, false, implication, disjunction, conjunction, universal and existential quantifications. For simplicity of presentation, we consider only unary predicates, but generalization to predicates of arbitrary arities is straightforward.

Note that we opt to use the above bracketing notations instead of the more traditional ones of connectives to simplify the presentation of the inference rules and derivations. Structures are ranged over by  $R, T, U, V, W$  and atomic structures are ranged over by  $a, b, c, d$ . A *structure context*, or context for short, is a structure with a hole, denoted by  $S\{\}$ . Given a structure  $R$  and a context  $S\{\}$ , we write  $S\{R\}$  to denote the structure that results from replacing the hole  $\{\}$  in  $S\{\}$  with  $R$ . In presenting a structure  $R$  in a context  $S\{\}$ , we often omit

Units:	$[t, t] = t$	$(f, f) = f$	$\langle f; t \rangle = t$	$\langle f; f \rangle = t$
	$[f, R] = R$	$(t, R) = R$	$\langle t; R \rangle = R$	
Associativity:	$[R, [T, U]] = [[R, T], U]$		$(R, (T, U)) = ((R, T), U)$	
Commutativity:	$[R, T] = [T, R]$		$(R, T) = (T, R)$	
Currying:	$\langle (R, T); U \rangle = \langle R; \langle T; U \rangle \rangle$			
Quantifiers:	$\forall x.R = \exists x.R = R$ , if $x$ is not free in $R$ .			
	$\forall x.R = \forall y.R[y/x]$ , $\exists x.R = \exists y.R[y/x]$ , $y$ is not free in $\forall x.R$ .			
Congruence:	$S\{R\} = S\{T\}$ , if $R = T$ .			

**Fig. 1.** Syntactic equality of structures

$$\begin{array}{cccccc}
i\downarrow \frac{S^+\{t\}}{S^+\langle R; R \rangle} & cr\downarrow \frac{S^+\{R, R\}}{S^+\{R\}} & cl\downarrow \frac{S^-(R, R)}{S^-\{R\}} & wr\downarrow \frac{S^+\{f\}}{S^+\{R\}} & wl\downarrow \frac{S^-\{t\}}{S^-\{R\}} & \\
s\downarrow \frac{S^+([R, T], U)}{S^+\langle R, (T, U) \rangle} & sc\downarrow \frac{S^+(\langle R; T \rangle, U)}{S^+\langle R; \langle T, U \rangle \rangle} & sd\downarrow \frac{S^+(\langle R; T \rangle, \langle U; V \rangle)}{S^+\langle [R, U]; [T, V] \rangle} & & & \\
sid\downarrow \frac{S^+[\langle R; T \rangle, U]}{S^+\langle R; [T, U] \rangle} & sic\downarrow \frac{S^+(R, \langle T; U \rangle)}{S^+\langle \langle R; T \rangle; U \rangle} & sac\downarrow \frac{S^+(\forall xR, \forall xT)}{S^+\{\forall x(R, T)\}} & & & \\
sa\downarrow \frac{S^+\{\forall x\langle R; T \rangle\}}{S^+\langle R; \forall xT \rangle} & se\downarrow \frac{S^+\{\forall x(R; T)\}}{S^+\langle \exists xR; T \rangle} & nr\downarrow \frac{S^+\{R[t/x]\}}{S^+\{\exists xR\}} & nl\downarrow \frac{S^-\{R[t/x]\}}{S^-\{\forall xR\}} & & 
\end{array}$$

**Fig. 2.** System  $\text{ISgq}$ : an intuitionistic system in the calculus of structures. The rules  $sa\downarrow$  and  $se\downarrow$  have the provisos that  $x$  is not free in  $R$  and  $T$ , respectively.

the curly braces surrounding the  $R$ , if  $R$  is composed with a binary relation, e.g., we shall write  $S[U, V]$  instead of  $S\{[U, V]\}$ . Structures are considered modulo the syntactic equivalence given in Figure 1. Note that we assume the domain of the quantification is non-empty. This is reflected in the equations concerning quantifiers.

We distinguish between *positive contexts* and *negative contexts*. Positive and negative contexts are defined inductively as follows.

1.  $\{ \}$  is a positive context,
2. if  $S\{ \}$  is a positive context then  $(S\{ \}, R)$ ,  $(R, S\{ \})$ ,  $[S\{ \}, R]$ ,  $[R, S\{ \}]$ ,  $\forall x\{ \}$ ,  $\exists x\{ \}$  and  $\langle R; S\{ \} \rangle$  are positive contexts, otherwise they are negative contexts,
3. if  $S\{ \}$  is a positive context then  $\langle S\{ \}; R \rangle$  is a negative context, otherwise it is a positive context.

Given a positive context  $S\{ \}$ , we often write it as  $S^+\{ \}$  to emphasize that it is a positive context. Similarly we write  $S^-\{ \}$  to emphasize that  $S\{ \}$  is a negative context.

The inference rules for the general system (non-local) for intuitionistic logic is given in Figure 2. We refer to this system as  $\text{ISgq}$ . As we have noted previously,

$$\begin{array}{cccccc}
i\uparrow \frac{S^-\langle R; R \rangle}{S^-\{t\}} & cr\uparrow \frac{S^-\{R\}}{S^-[R, R]} & cl\uparrow \frac{S^+\{R\}}{S^+(R, R)} & wr\uparrow \frac{S^-\{R\}}{S^-\{f\}} & wl\uparrow \frac{S^+\{R\}}{S^+\{t\}} & \\
s\uparrow \frac{S^-[R, (T, U)]}{S^-([R, T], U)} & sc\uparrow \frac{S^-\langle R; (T, U) \rangle}{S^-((R; T), U)} & sd\uparrow \frac{S^-\langle [R, U]; [T, V] \rangle}{S^-((R; T), \langle U; V \rangle)} & & & \\
sid\uparrow \frac{S^-\langle R; [T, U] \rangle}{S^-[\langle R; T \rangle, U]} & sic\uparrow \frac{S^-\langle \langle R; T \rangle; U \rangle}{S^-(R, \langle T; U \rangle)} & sac\uparrow \frac{S^-\{\forall x(R, T)\}}{S^-(\forall xR, \forall xT)} & & & \\
sa\uparrow \frac{S^-\langle R; \forall xT \rangle}{S^-\{\forall x\langle R; T \rangle\}} & se\uparrow \frac{S^-\langle \exists xR; T \rangle}{S^-\{\forall x\langle R; T \rangle\}} & nr\uparrow \frac{S^-\{\exists xR\}}{S^-\{R[t/x]\}} & nl\uparrow \frac{S^+\{\forall xR\}}{S^+\{R[t/x]\}} & & 
\end{array}$$

**Fig. 3.** System  $c\text{ISgq}$ : the dual of  $\text{ISgq}$ .

each rule in the calculus of structures has its co-rule. In the case of  $\text{ISgq}$ , the co-rule of a rule  $\rho$  is obtained from  $\rho$  by exchanging the premise with the conclusion and reversing the condition on the context of the rule (i.e., positive to negative and vice versa). The name of a rule is usually suffixed with an up or a down arrow, and its co-rule has the same name but with the arrow reversed. We use the term *up-rules* to denote rules with up-arrow in their names and *down-rules* if their names contain down-arrow. The rule  $i\downarrow$  corresponds to the identity rule in sequent calculus. Its co-rule,  $i\uparrow$  (see Figure 3), corresponds to cut. Together they are referred to as the *interaction rules*. The rules  $cl\downarrow$  and  $cr\downarrow$  are the contraction left and right rules, and  $wl\downarrow$  and  $wr\downarrow$  are the weakening left and right rules. The rules prefixed with the letter  $s$  are the *switch* rules, using the terminology of [13]. The notation  $[t/x]$  in the  $nr\downarrow$  and  $nl\downarrow$  rules denotes capture-avoiding substitutions.

Notice that if we take the dual of the rules of  $\text{ISgq}$ , we obtain another, “dual” system of intuitionistic logic. This system, called  $c\text{ISgq}$ , is shown in Figure 3. Each of the systems  $\text{ISgq}$  and  $c\text{ISgq}$  is incomplete in its own, since either cut or identity is missing. The fully symmetric system for intuitionistic logic is thus obtained by combining the two, and is referred to as  $\text{SISgq}$ .  $\text{SISgq}$  naturally corresponds to first-order  $LJ$  and either one of  $\text{ISgq}$  or  $c\text{ISgq}$  corresponds to the cut-free fragment of first-order  $LJ$ . Note that either system can be chosen to represent the cut-free  $LJ$ ; it is just a matter of convention that we fix our choice to  $\text{ISgq}$ . We refer to the propositional fragment of  $\text{SISgq}$  ( $\text{ISgq}$ ) as  $\text{SISg}$  (respectively,  $\text{ISg}$ ).

**Definition 1.** A derivation  $\Delta$  in a system in the calculus of structures is a finite chain of instances of inference rules in the system. A derivation can consist of just one structure. The topmost structure in a derivation is called the premise of the derivation, and the structure at the bottom is called its conclusion. A proof  $\Pi$  in the calculus of structures is a derivation whose premise is  $t$ . A rule  $\rho$  is derivable in a system  $\mathcal{S}$  if  $\rho \notin \mathcal{S}$  and for every instance of  $\rho$   $\frac{T}{R}$  there is a

derivation with premise  $R$  and conclusion  $T$  in  $\mathcal{S}$ . Two systems are equivalent if they have the same set of provable structures.

### 3 Soundness, completeness and cut elimination

We shall now prove that the system  $\mathcal{S}\text{Sgq}$  is sound and complete with respect to intuitionistic logic and that it has cut-elimination. The notion of cut-elimination in the calculus of structures is more general than that of sequent calculus, that is, not only the cut rule (the  $\uparrow$ ) is admissible, but the entire up-rules are also admissible. We prove the soundness and completeness of  $\mathcal{S}\text{Sgq}$  with respect to a multiple-conclusion sequent system for intuitionistic logic [9]. We refer to this system as  $LJm$ . Its rules are those of Gentzen's  $LK$ , except for the right introduction rules for universal quantifier and implication:

$$\supset R \frac{\Gamma, A \vdash B}{\Gamma \vdash A \supset B, \Psi} \quad \forall R \frac{\Gamma \vdash A[y/x]}{\Gamma \vdash \forall x A, \Psi}$$

where  $y$  in the  $\forall R$  rule is not free in the lower sequent. Cut-elimination for  $\mathcal{S}\text{Sgq}$  is obtained indirectly via the cut-elimination theorem in sequent calculus, by observing that all the rules in  $LJm$ , except the cut, are derivable in  $\text{ISgq}$ , i.e., the fragment of  $\mathcal{S}\text{Sgq}$  without the up-rules.

The formulae of  $LJm$  are given by the following grammar:

$$F ::= p(t) \mid \top \mid \perp \mid F \supset F \mid F \vee F \mid F \wedge F \mid \forall x F \mid \exists x F.$$

As in structures,  $p$  here denotes a unary predicate, and the rest of the constants correspond to true, false, implication, disjunction, conjunction, universal and existential quantifiers.

**Definition 2.** The functions  $\underline{\cdot}_s$  and  $\underline{\cdot}_j$  given below transform formulae in  $LJm$  into structures and vice versa:

$$\begin{array}{llll} \underline{\top}_s = t & \underline{\perp}_s = f & \underline{\top}_j = \top & \underline{f}_j = \perp \\ \underline{p(t)}_s = p(t) & \underline{A \wedge B}_s = \langle \underline{A}_s, \underline{B}_s \rangle & \underline{p(t)}_j = p(t) & \underline{(R, T)}_j = \underline{R}_j \wedge \underline{T}_j \\ \underline{A \vee B}_s = [\underline{A}_s, \underline{B}_s] & \underline{A \supset B}_s = \langle \underline{A}_s; \underline{B}_s \rangle & \underline{[R, T]}_j = \underline{R}_j \vee \underline{T}_j & \underline{\langle R; T \rangle}_j = \underline{R}_j \supset \underline{T}_j \\ \underline{\forall x A}_s = \forall x \underline{A}_s & \underline{\exists x A}_s = \exists x \underline{A}_s & \underline{\forall x R}_j = \forall x \underline{R}_j & \underline{\exists x R}_j = \exists x \underline{R}_j \end{array}$$

The function  $\underline{\cdot}_s$  is generalized to sequents as follows:

$$\underline{A_1, \dots, A_n \vdash B}_s = \forall x_1 \dots \forall x_n \langle (\underline{A}_{1_s}, \dots, \underline{A}_{n_s}); \underline{B}_s \rangle$$

where  $x_1, \dots, x_n$  are the eigenvariables of the sequent, and empty conjunction is interpreted as the constant  $t$ .

The key to proving soundness is to show that each instance of a rule in  $\mathcal{S}\text{Sgq}$  corresponds to an implication in  $LJm$  and that equivalent structures map to logically equivalent formulas. For instance, the soundness of the  $\text{sc}\downarrow$  rule is demonstrated by the left derivation in Figure 4.

$$\begin{array}{c}
\frac{id \frac{}{R \vdash R} \quad id \frac{}{T \vdash T}}{\supset L \frac{}{R \supset T, R \vdash T}} \quad id \frac{}{U \vdash U} \\
\wedge R \frac{}{R \supset T, U, R \vdash T \wedge U} \\
\wedge L \frac{}{(R \supset T) \wedge U, R \vdash T \wedge U} \\
\supset R \frac{}{(R \supset T) \wedge U \vdash R \supset (T \wedge U)}
\end{array}
\quad
\begin{array}{c}
sc \downarrow \frac{\langle \Gamma_1; [A, \Psi_2] \rangle, \langle (B, \Gamma_2); \Psi_1 \rangle}{\langle \Gamma_1; ([A, \Psi_2], \langle (B, \Gamma_2); \Psi_1 \rangle) \rangle} \\
= \\
sc \downarrow \frac{\langle \Gamma_1; \langle \Gamma_2; \langle B; \Psi_1 \rangle \rangle, [A, \Psi_2] \rangle}{\langle \Gamma_1; \langle \Gamma_2; (\langle B; \Psi_1 \rangle, [A, \Psi_2]) \rangle \rangle} \\
= \\
s \downarrow \frac{\langle \Gamma_1, \Gamma_2 \rangle; (\langle [A, \Psi_2], \langle B; \Psi_1 \rangle \rangle)}{\langle \Gamma_1, \Gamma_2 \rangle; [\langle (A, \langle B; \Psi_1 \rangle), \Psi_2 \rangle]} \\
sic \downarrow \frac{\langle \Gamma_1, \Gamma_2 \rangle; [\langle \langle A; B \rangle; \Psi_1 \rangle, \Psi_2]}{\langle \Gamma_1, \Gamma_2 \rangle; \langle \langle A; B \rangle; [\Psi_1, \Psi_2] \rangle} \\
= \\
sid \downarrow \frac{\langle \Gamma_1, \Gamma_2 \rangle; \langle \langle A; B \rangle; [\Psi_1, \Psi_2] \rangle}{\langle \Gamma_1, \Gamma_2, \langle A; B \rangle \rangle; [\Psi_1, \Psi_2]}
\end{array}$$

**Fig. 4.** A correspondence between  $\text{ISgq}$  and  $LJm$ .

**Theorem 3.** *For every structure  $R$ ,  $R$  is provable in  $\text{SISgq}$  if and only if  $\underline{R}$  is provable in  $LJm$ .*

To prove completeness, and cut-elimination, we show that  $\text{ISgq}$  can simulate all the sequent rules of  $LJm$ . For instance, we show in the right derivation in Figure 4 a simulation of the left introduction rule for implication:

$$\supset L \frac{\Gamma_1 \vdash A, \Psi_1 \quad B, \Gamma_2 \vdash \Psi_2}{\Gamma_1, \Gamma_2, A \supset B \vdash \Psi_1, \Psi_2}$$

Notice that the branching in the rule is mapped to the conjunctive structural relation in  $\text{ISgq}$ .

**Theorem 4.** *For every structure  $R$ ,  $R$  is provable in  $\text{SISgq}$  if and only if it is provable in  $\text{ISgq}$ .*

## 4 Intermediate and classical logics

We now consider three extensions of intuitionistic logic: Dummett's  $LC$  [8], Gödel logic [22] and classical logic. Dummett's  $LC$  is obtained by adding the following axiom  $A \supset B \vee B \supset A$  to the propositional fragment of intuitionistic logic. Gödel logic is obtained by adding to  $LC$  the axiom  $\forall x(A \vee B) \supset \forall xA \vee B$ , where  $x$  is not free in  $B$ . Classical logic is obtained, obviously, by dropping the restriction on the contexts in the introduction rules for implication and universal quantifiers. We discuss each of these extension in the following.

### 4.1 Dummett's $LC$

Dummett's  $LC$  can be formalized in the calculus of structures by adding the following rules to  $\text{SISg}$  (i.e., the propositional fragment of  $\text{SISgq}$ ).

$$\text{com} \downarrow \frac{S^+(\langle R; T \rangle, \langle U; V \rangle)}{S^+(\langle R; V \rangle, \langle U; T \rangle)} \quad \text{com} \uparrow \frac{S^-(\langle R; V \rangle, \langle U; T \rangle)}{S^-(\langle R; T \rangle, \langle U; V \rangle)}$$



These rules are called the *communication* rules, and are inspired by the corresponding rules in the hypersequent formulation of *LC* [1, 2]. With the  $\text{com}\downarrow$  rule, we can derive the axiom  $A \supset B \vee B \supset A$  as follows:

$$\begin{array}{c} \frac{}{t} \\ = \\ \frac{}{(t, t)} \\ \text{i}\downarrow \\ \frac{}{(t, \langle B; B \rangle)} \\ \text{i}\downarrow \\ \frac{}{(\langle A; A \rangle, \langle B; B \rangle)} \\ \text{com}\downarrow \\ \frac{}{[\langle A; B \rangle, \langle B; A \rangle]} \end{array}$$

We refer to the system  $\text{S}\downarrow\text{Sg}$  extended with both rules as  $\text{SCSg}$ . We call the down fragment of  $\text{SCSg}$ , i.e.,  $\downarrow\text{Sg}$  plus the  $\text{com}\downarrow$  rule, the system  $\text{CSg}$ . As we will see, it is enough to consider  $\text{CSg}$  since it is equivalent to  $\text{SCSg}$ .

Both  $\text{com}\downarrow$  and  $\text{com}\uparrow$  rules correspond to the formula

$$(R \supset T) \wedge (U \supset V) \supset (R \supset V) \vee (U \supset T).$$

This formula can be easily shown to be provable from the following three formulas:

1.  $(T \supset V) \vee (V \supset T)$ ,
2.  $(R \supset T) \wedge (T \supset V) \supset (R \supset V)$ ,
3.  $(U \supset V) \wedge (V \supset T) \supset (U \supset T)$ .

The first formula is an axiom of *LC*, the second and the third are intuitionistic theorems. Therefore the  $\text{com}\downarrow$  and  $\text{com}\uparrow$  rules are sound with respect to *LC*. The completeness proof of  $\text{CSg}$  (and  $\text{SCSg}$ ) is more involved; it uses a translation from a hypersequent system for *LC* to  $\text{CSg}$ . We state the result here and refer the interested reader to the extended version of the paper for the detailed proofs.

**Theorem 5.** *For every structure  $R$ ,  $R$  is provable in  $\text{CSg}$  if and only if  $\underline{R}_\downarrow$  is provable in *LC*.*

## 4.2 Gödel logic

Gödel logic is obtained by adding  $\text{com}\downarrow$ ,  $\text{com}\uparrow$  and the following rules to  $\text{S}\downarrow\text{Sgq}$ :

$$\text{g}\downarrow \frac{S^+ \{\forall x[R, T]\}}{S^+ [\forall xR, T]} \quad \text{g}\uparrow \frac{S^- [\forall xR, T]}{S^- \{\forall x[R, T]\}}$$

We refer to the formulation of this logic as  $\text{SGSg}$ . The down fragment, i.e.,  $\text{CSg}$  plus the  $\text{g}\downarrow$  rule, is referred to as  $\text{GSg}$ .

The rules  $\text{g}\downarrow$  and  $\text{g}\uparrow$  are obviously sound since they correspond directly to the axiom  $\forall x(R \vee T) \supset \forall xR \vee T$ . To prove completeness and cut-elimination, we encode a hypersequent system for Gödel logic, i.e., the system *HIF* [2] (also known as first-order intuitionistic fuzzy logic) in  $\text{GSg}$ . The details of the encoding can be found in the appendix.

**Theorem 6.** *For every structure  $R$ ,  $R$  is provable in  $\text{GSg}$  if and only if  $\underline{R}_\downarrow$  is provable in *HIF*.*

### 4.3 Classical logic

Classical logic is obtained by adding  $g\downarrow$ ,  $g\uparrow$  and the following rules

$$ci\downarrow \frac{S^+\langle R; [T, U] \rangle}{S^+\langle R; T \rangle, U} \quad ci\uparrow \frac{S^-\langle R; T \rangle, U}{S^-\langle R; [T, U] \rangle}$$

to  $S\downarrow Sgq$ . These rules allow one to simulate the right-introduction rules for implication and universal quantifier in  $LK$ :

$$\supset R \frac{\Gamma, A \vdash B, \Psi}{\Gamma \vdash A \supset B, \Psi} \quad \forall R \frac{\Gamma \vdash A[y/x], \Psi}{\Gamma \vdash \forall x A, \Psi}$$

More precisely, these rules are derived as follows:

$$ci\downarrow \frac{\langle \Gamma, A \rangle; [B, \Psi]}{\langle \Gamma; \langle A; B \rangle, \Psi \rangle} = \frac{\langle \Gamma, A \rangle; [B, \Psi]}{\langle \Gamma; \langle A; [B, \Psi] \rangle \rangle} \stackrel{sa\downarrow}{=} \frac{\forall y \langle \Gamma; [A[y/x], \Psi] \rangle}{\langle \Gamma; \forall y [A[y/x], \Psi] \rangle} \stackrel{g\downarrow}{=} \frac{\langle \Gamma; [\forall y. A[y/x], \Psi] \rangle}{\langle \Gamma; [\forall x A, \Psi] \rangle}$$

We refer to the system  $S\downarrow Sgq$  extended with  $ci\downarrow$ ,  $ci\uparrow$ ,  $g\downarrow$  and  $g\uparrow$  as  $SKS_{2g}$ . The down fragment, i.e.,  $\downarrow Sgq$  extended with  $ci\downarrow$  and  $g\downarrow$ , is referred to as  $KS_{2g}$ .

**Theorem 7.** *For every structure  $R$ ,  $R$  is provable in  $KS_{2g}$  if and only if  $\underline{R}_j$  is provable in  $LK$ .*

## 5 Atomic contraction

We shall now refine the system  $S\downarrow Sgq$  and its extensions to systems in which the interaction rules (i.e., the  $i\downarrow$  and  $i\uparrow$  rules), contraction and weakening are restricted to atomic propositions. The transformations required to reduce the interaction, weakening and contraction rules to their atomic forms are independent of the particular extensions to  $S\downarrow Sgq$ , so without loss of generality we shall work only with the system  $S\downarrow Sgq$  in this section. The main challenge in reducing contraction to its atomic form is in finding the right *medial rules*, just like those in  $SKS$  [4]. They are basically some form of distributivity among the connectives. In order to reduce contraction in negative context to atomic ones, it is crucial that we allow contraction on positive context as well. This is due to the reversal of polarity introduced by the implication connective.

The atomic versions of the interaction, contraction and weakening rules are as follows:

$$ai\downarrow \frac{S^+\{t\}}{S^+\langle a; a \rangle} \quad acr\downarrow \frac{S^+[a, a]}{S^+\{a\}} \quad ac\downarrow \frac{S^-(a, a)}{S^-\{a\}} \quad awr\downarrow \frac{S^+\{f\}}{S^+\{a\}} \quad awl\downarrow \frac{S^-\{t\}}{S^-\{a\}}$$

and their respective duals, obtained by exchanging the premise and the conclusion, with the polarity of the context reversed. Here we denote with  $a$  an atomic formula.

$$\begin{array}{ll}
\text{ml} \frac{S^-([R, T], [U, V])}{S^-[(R, U), (T, V)]} & \text{mr} \frac{S^+[(R, U), (T, V)]}{S^+([R, T], [U, V])} \\
\text{mil} \downarrow \frac{S^-((R; U), (T; V))}{S^-([R, T]; (U, V))} & \text{mir} \downarrow \frac{S^+[(R; U), (T; V)]}{S^+((R, T); [U, V])} \\
\text{mal} \downarrow \frac{S^- (\forall xR, \forall xT)}{S^- \{ \forall x(R, T) \}} & \text{mar} \downarrow \frac{S^+ [\forall xR, \forall xT]}{S^+ \{ \forall x[R, T] \}} \\
\text{mel} \downarrow \frac{S^- (\exists xR, \exists xT)}{S^- \{ \exists x(R, T) \}} & \text{mer} \downarrow \frac{S^+ [\exists xR, \exists xT]}{S^+ \{ \exists x[R, T] \}}
\end{array}$$

**Fig. 5.** The medial rules for reducing contraction to atomic.

The medial rules for intuitionistic logic are given in Figure 5. The classical medial rule  $m$  of SKS [7] splits into two rules: the (right) medial rule  $mr$  and the (left) medial rule  $ml$ . This is because we have contraction on both the positive and negative contexts. Notice that  $mr$  and  $ml$  are dual to each other, that is,  $mr$  is the up-version of  $ml$  and vice versa. There are extra medial rules that deal with implication and quantifiers. All those rules are derivable from the contraction and weakening rules in  $\text{ISgq}$ , and hence their soundness follows from the soundness of  $\text{ISgq}$ . By taking the duals of the medial rules in Figure 5, we obtain the *co-medial* rules, which by symmetry, are needed to reduce the *co-contraction* (i.e., the up-version of the contraction rules) to atomic. The co-medial rules are denoted by the same name but with the arrows reversed.

The general interaction rules  $i \downarrow$  and the weakening rule  $wr \downarrow$  can be shown reducible to their atomic versions, and the contraction rule  $cr \downarrow$  can be reduced to the atomic one with the medial rules. We illustrate here a step in the reduction of the contraction rule; more details can be found in the appendix. Consider for instance, contractions on an implication structure, on both the positive and negative context:

$$\text{cr} \downarrow \frac{S^+[(R; T), (R; T)]}{S^+ \langle R; T \rangle} \quad \text{cl} \downarrow \frac{S^-((R; T), (R; T))}{S^- \langle R; T \rangle}$$

These instances of contractions can be replaced by the following derivations:

$$\begin{array}{ll}
\text{mir} \downarrow \frac{S^+[(R; T), (R; T)]}{S^+ \langle (R, R); [T, T] \rangle} & \text{mil} \downarrow \frac{S^-[(R; T), (R; T)]}{S^- \langle [R, R]; (T, T) \rangle} \\
\text{cr} \downarrow \frac{S^+ \langle (R, R); [T, T] \rangle}{S^+ \langle (R, R); T \rangle} & \text{cl} \downarrow \frac{S^- \langle [R, R]; (T, T) \rangle}{S^- \langle [R, R]; T \rangle} \\
\text{cl} \downarrow \frac{S^+ \langle (R, R); T \rangle}{S^+ \langle R; T \rangle} & \text{cr} \downarrow \frac{S^- \langle [R, R]; T \rangle}{S^- \langle R; T \rangle}
\end{array}$$

Notice that in the above derivations, contractions are applied to a subformula of the original formula. Repeating this process, we eventually end up with contractions on atomic formulas only.

**Definition 8.** System  $\text{ISaq}$  is obtained from  $\text{ISgq}$  by replacing the interaction rule  $i \downarrow$  with  $ai \downarrow$ , the weakening rules  $wr \downarrow$  and  $wl \downarrow$  with  $awr \downarrow$  and  $awl \downarrow$ , the con-

Units:	$[t^+, t^+]^+ = t^+$	$(f^+, f^+)^+ = f^+$	$\langle f^-; t^+ \rangle^+ = t^+$	$\langle f^-; f^+ \rangle^+ = t^+$
	$[f^+, R^+]^+ = R^+$	$(t^+, R^+)^+ = R^+$	$\langle t^-; R^+ \rangle^+ = R^+$	
Associativity:	$[R, [T, U]^+]^+ = [[R, T]^+, U]^+$	$(R, (T, U)^+)^+ = ((R, T)^+, U)^+$		
Commutativity:	$[R, T]^+ = [T, R]^+$	$(R, T)^+ = (T, R)^+$		
Currying:		$\langle (R, T)^-, U \rangle^+ = \langle R; \langle T; U \rangle^+ \rangle^+$		
Orthogonality:		$\overline{R} = \overline{T}$ , if $R = T$ .		
Congruence:		$S\{R\} = S\{T\}$ , if $R = T$ .		

**Fig. 6.** Syntactic equality for polarized structures

traction rules  $cr\downarrow$  and  $cl\downarrow$  with  $acr\downarrow$ ,  $acl\downarrow$  and the medial rules in Figure 5. System  $S\downarrow Saq$  is obtained by adding to  $\downarrow Saq$  its own dual rules. The propositional fragment of  $S\downarrow Saq$  and  $\downarrow Saq$  are referred to as  $S\downarrow Sa$  and  $\downarrow Sa$ , respectively.

**Theorem 9.** *The systems  $S\downarrow Sgq$ ,  $S\downarrow Saq$ ,  $S\downarrow Saq$  and  $\downarrow Saq$  are equivalent.*

## 6 A local system for propositional intuitionistic logic

The rules in both  $S\downarrow Sgq$  and  $S\downarrow Saq$  are non-local since in order to apply the rules, one has to check whether the redex is in a positive or negative context. However, if one carefully observes the rules, one notices a certain conservation of *polarities* in the rules. That is to say there is never the case where a structure in a positive context is moved to a negative context and vice versa. For example, in the rule  $sc\downarrow$  in Figure 2, the substructures  $R$ ,  $T$ ,  $U$  and  $V$  have the same polarities in both the premise and the conclusion of the rule. That is  $R$  is in negative context in both premise and conclusion,  $T$  is in positive context, and so on. This observation leads to the following idea: When proving a structure, we first label each substructure with either a ‘+’ or a ‘-’ depending on whether the substructure is in a positive or a negative context respectively. Each time a structure is modified by a rule, the premise of the rule is relabelled consistently, that is, substructures are labelled depending on which context they reside in. The polarity-preserving property of the rules guarantees that there is no need of relabelling of substructures which are not affected by the rule. For the  $sc\downarrow$  rule, the labelled version would be:

$$sc\downarrow \frac{S(\langle R; T \rangle^+, U)^+}{S\langle R; (T, U)^+ \rangle^+}$$

This modified rule of  $sc\downarrow$  is local since we need only to check for polarity of three substructures in the rule, instead of checking the entire context. We shall give a fully local system for the propositional fragment of  $\downarrow Saq$  by introducing polarities into structures.

$$\begin{array}{c}
\text{ai} \downarrow \frac{S\{t^+\}}{S\langle a^-, a^+ \rangle^+} \quad \text{acr} \downarrow \frac{S[a^+, a^+]^+}{S\{a^+\}} \quad \text{acl} \downarrow \frac{S(a^-, a^-)^-}{S\{a^-\}} \quad \text{awr} \downarrow \frac{S\{f^+\}}{S\{a^+\}} \quad \text{wl} \downarrow \frac{S\{t^-\}}{S\{a^-\}} \\
\text{sl} \downarrow \frac{S([R, T]^+, U)^+}{S[R, (T, U)^+]^+} \quad \text{sc} \downarrow \frac{S(\langle R; T \rangle^+, U)^+}{S\langle R; (T, U)^+ \rangle^+} \quad \text{sd} \downarrow \frac{S(\langle R; T \rangle^+, \langle U; V \rangle^+)^+}{S\langle [R, U]^-, [T, V]^+ \rangle^+} \\
\text{sid} \downarrow \frac{S[\langle R; T \rangle^+, U]^+}{S\langle R; [T, U]^+ \rangle^+} \quad \text{sic} \downarrow \frac{S(R, \langle T; U \rangle^+)^+}{S\langle \langle R; T \rangle^+; U \rangle^+} \\
\text{ml} \downarrow \frac{S([\langle R, T \rangle^-, [U, V]^-]^-)}{S[(R, U)^-, (T, V)^-]^-} \quad \text{mr} \downarrow \frac{S[(R, U)^+, (T, V)^+]^+}{S\langle [R, T]^+, [U, V]^+ \rangle^+} \\
\text{mil} \downarrow \frac{S(\langle R; U \rangle^-, \langle T; V \rangle^-)^-}{S\langle [R, T]^+; (U, V)^- \rangle^-} \quad \text{mir} \downarrow \frac{S[\langle R; U \rangle^+, \langle T; V \rangle^+]^+}{S\langle \langle R, T \rangle^-; [U, V]^+ \rangle^+}
\end{array}$$

**Fig. 7.** System  $\text{ISp}$ .

**Definition 10.** Polarized structures are expressions generated from the following grammar:

$$\begin{array}{l}
S ::= P \mid N \\
P ::= a^+ \mid t^+ \mid f^+ \mid (P, P)^+ \mid [P, P]^+ \mid \langle N; P \rangle^+ \\
N ::= a^- \mid t^- \mid f^- \mid (N, N)^- \mid [N, N]^- \mid \langle P; N \rangle^-
\end{array}$$

A positive polarized structure, or *positive structure for short*, is a polarized structure labelled with ‘+’, and a negative polarized structure, or *negative structure*, is a polarized structure labelled with ‘-’. Positive structures are often denoted by  $R^+$  and negative structures by  $R^-$ . The orthogonal of a structure  $R$ , denoted by  $R$ , is the structure obtained from  $R$  by exchanging the labels ‘+’ with ‘-’ and vice versa. A polarized context is a polarized structure with a hole  $\{ \}$ . Given a polarized context  $S\{ \}$  and a polarized structure  $R$ , the placement of  $R$  in  $S\{ \}$ , i.e.,  $S\{R\}$ , is allowed only if doing so results in a well-formed polarized structure. Polarized structures are considered modulo the equality in Figure 6.

The propositional intuitionistic system with polarized structures is given in Figure 7. We refer to this system as  $\text{ISp}$ . Each polarized rule has a dual version which is obtained by exchanging the premise and the conclusion and exchanging the polarities. The system obtained by adding  $\text{ISp}$  to its own duals is referred to as  $\text{SISp}$ . Both the inference rules and the structural equality are derived straightforwardly from the inference rules and structural equality of  $\text{SISa}$ , that is, by giving appropriate labels to the structures. Care has to be taken to ensure that the rules and the equality between polarized structures preserve polarity. We shall now proceed to prove formally that  $\text{SISp}$ ,  $\text{SISa}$ ,  $\text{ISp}$  and  $\text{ISa}$  are all equivalent in terms of provability.

The notion of derivations in  $\text{SISp}$  is the same as that in  $\text{SISa}$ . The notion of proof is slightly different.

**Definition 11.** A proof of a polarized structure  $R$  in  $\mathcal{S}$  is a derivation in  $\mathcal{S}$  with premise  $\mathfrak{t}^+$  and conclusion  $R$ .

By this definition, it is obvious that all provable polarized structures are positive structures since all rules in  $\text{S|Sp}$  preserve polarities.

The key idea to proving the correspondence between  $\text{S|Sp}$  and the propositional fragment of  $\text{S|Saq}$  is the following: the polarity of any substructure  $R$  in  $S\{R\}$  should determine the polarity of the context. In particular, positive structures  $R$  and  $S\{R\}$  are translated to some structures  $T$  and  $S'\{T\}$  such that  $S'\{ \}$  corresponds to  $S\{ \}$  and  $T$  corresponds to  $R$ , and most importantly,  $S'\{ \}$  is a positive context. In this way, rules that apply to positive substructures in  $\text{S|Sp}$  translate to the same rules that apply under positive context in  $\text{S|Sa}$ , and a simple observation on the inference rules of  $\text{S|Sp}$  and  $\text{S|Sa}$  shows that they co-incide. The same observation holds for negative structures and negative contexts. In the following theorems, we denote with  $\underline{R}_s$ , where  $R$  is a polarized structure, the structure obtained from  $R$  by dropping all the polarity signs.

**Theorem 12.** For every polarized structure  $R$ ,  $R$  is provable in  $\text{|Sp}$  if and only if  $\underline{R}_s$  is provable in  $\text{|Sa}$ .

## 7 Future work

Properties of proofs and derivations in the systems  $\text{S|Sgq}$  and its extensions remain to be studied. An immediate future works would be to find direct proofs (i.e., without the detour through sequent calculus or hypersequent) of cut-elimination. It would also be interesting to investigate various substructural logics that arise from either restricting or extending the base system  $\text{S|Sgq}$ . For instance, it would be interesting to see what sort of logic we get from dropping the atomic contraction rules but keeping the medial rules. Another open problem is to come up with a fully local first-order intuitionistic system. The rules which instantiate quantifiers, i.e.,  $\text{nr}\downarrow$  and  $\text{nl}\downarrow$ , involve substitutions which are non-local. This can probably be made local by giving rules which effectively “implement” explicit substitutions. On the more general problem of formalizing asymmetric systems, it would be interesting to see if the methodology presented here can be generalized to formalize non-standard asymmetric systems such as Bunched Logic [19]. Some preliminary result in this direction can be found in [15]. The current work focusses mainly on the proof theoretic aspects. It would be interesting to see if the analyses on the deep inference systems, in particular the notions of locality and atomicity, will be useful for implementing proof search for these logics.

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