

Robert C. Williamson — Publications

May 23, 2005

All of my “publications” are listed below, even unpublished ones. The numbers associated with each publication are a “serial number” assigned in approximately chronological order. Since omission of unpublished ones would leave gaps, and since a chronological ordering is rather useful, I have left all of the entries here. Names in *italics* denote coauthor who was a graduate student at the time of writing the initial paper.

Theses

- Robert C. Williamson, *Number Theoretic Transform Convolver*, Bachelor of Engineering Thesis (Q.I.T. 1983).
- Robert C. Williamson, *Software Implementation of Polynomial Transform based Convolution Algorithms*, Master of Engineering Science Thesis, (University of Queensland 1985).
- Robert C. Williamson, *Probabilistic Arithmetic,*” PhD Thesis, (University of Queensland 1989).

Edited Volumes

- [P92] Peter L. Bartlett, Anthony Burkitt, and Robert C. Williamson (Editors), *Proceedings of the Seventh Australian Conference on Neural Networks*, Department of Engineering, ANU, April 1996. ISBN 0 7315 2429 2, 261 pages.
- [P152] David Helmbold and Bob Williamson (Editors), *Computational Learning Theory: 14th Annual Conference on Computational learning theory, COLT2001 and 5th European Conference on Computational learning Theory, EuroCOLT2001, Amsterdam, Netherthelands, Proceedings*, Springer Lecture Notes in Artificial Intelligence (LNAI) 2111, Springer, Berlin, 2001, ISBN 3-540-42343-5. (629 pages)

Book Chapters

- [P8] Robert C. Williamson, “Interval Arithmetic and Probabilistic Arithmetic,” in *Contributions to Computer Arithmetic and Self-Validating Numerical Methods*, Edited by C. Ullrich, pages 67–80, J.C. Baltzer AG, Scientific Publishing Co., 1990.
- [P107] Robert C. Williamson, Alex J.Smola and Bernhard Schölkopf, “Entropy Numbers, Operators, and Support Vector Kernels”, chapter in *Advances in Kernel Methods — Support Vector Learning*, MIT Press, to appear, 1998.

- [P120] Alex J. Smola, André Elisseeff, Bernhard Schölkopf and Robert C. Williamson, “Entropy Numbers for Convex Combinations and MLPs,” pages 369–387 in Alex Smola, Peter Bartlett, Bernhard Schölkopf and Dale Schuurmans (Editors), *Advances in Large Margin Classifiers*, MIT Press, 2000.
- [P158] Ralf Herbrich and Robert C. Williamson, Learning and Generalization: Theoretical Bounds, invited submission to Michael Arbib (Ed.) *Handbook of Brain Theory and Neural Networks*, 2nd Edition, MIT Press, 2002. ISBN 0-262-01197-2
- [P94] Jennifer A. Fulton, Robert R. Bitmead and Robert C. Williamson, “Smoothing Approaches to Reconstruction of Missing Data in Array Processing,” pages 87–94, in *Defence Applications of Signal Processing — Proceedings of the US/Australia Joint Workshop on Defence Applications of Signal Processing*, Elsevier, 2001. (Work submitted in 1997!)
- [P153] Darren B. Ward, Rodney A. Kennedy and Robert C. Williamson, “Constant Directivity beamforming,” pages 3–17 in Michael Brandstein and Darren Ward (Eds) *Microphone Arrays: Signal Processing Techniques and Applications*, Springer, Berlin, 2001. ISBN 3-540-41953-5.

Book Chapters (Submitted)

- [P138] Ralf Herbrich, Thore Graepel and Robert C. Williamson, The Structure of Version Space, submitted to Book, Edited by Dawn Holmes and L.C. Jain, Springer, January 2005. Also Microsoft Technical Report MSR-TR-2004-63 July 2004.

Journal Papers

- [P3] Robert C. Williamson and Tom Downs, “The Inverse and Determinant of a 2×2 Uniformly Distributed Random Matrix,” *Statistics and Probability Letters*, **7**, pages 167-170, (1989).
- [P5] Robert C. Williamson and Tom Downs, “Probabilistic Arithmetic: Numerical Methods for Calculating Convolutions and Dependency Bounds,” *International Journal of Approximate Reasoning* **4**, pages 89–158 (1990).
- [P6] Robert C. Williamson, “An Extreme Limit Theorem for Dependency Bounds of Normalised Sums of Random Variables,” *Information Sciences*, **56**, pages 113–141 (1991).
- [P7] Robert C. Williamson, “The Law of Large Numbers for Fuzzy Variables under a General Triangular Norm Extension Principle,” *Fuzzy Sets and Systems*, **41**, 55–81, (1991).

- [P18] Brian C. Lovell and Robert C. Williamson, The Statistical Performance of Some Instantaneous Frequency Estimators,, *IEEE Transactions on Signal Processing* **40**, pages 1708–1723, (July 1992).
- [P34] Brian C. Lovell, Robert C. Williamson and Boalem Boashash, The Relationship Between Instantaneous Frequency and Time Frequency Representations, *IEEE Transactions on Signal Processing*, **41**, pages 1458–1461 (1993).
- [P44] Robert C. Williamson, *Ben James*, Brian D.O. Anderson and Peter J. Kootsookos, “Threshold Effects in Maximum Likelihood Multiharmonic Frequency Estimation,” *Signal Processing*, **37**, pages 309–331, (1994).
- [P45] *Ben James*, Brian D.O. Anderson, and Robert C. Williamson, “Conditional Mean and Maximum Likelihood Approaches to Multiharmonic Frequency Estimation,” *IEEE Transactions on Signal Processing*, **42**, pages 1366–1375 (June 1994)
- [P32] *Mehmet Karan*, Brian D.O. Anderson and Robert C. Williamson, “Performance of Maximum Likelihood Estimator for Frequency Tracking Problems”, *IEEE Transactions on Signal Processing*, **42**(10), 2749–2757, (1994) .
- [P43] *Darren Ward*, Rodney A. Kennedy and Robert C. Williamson, “The Theory of Broadband Sensor Arrays with Frequency Invariant Far-Field Beam Patterns” *Journal of the Acoustical Society of America* **97**(2), 1023–1034, (February 1995)
- [P30] Robert C. Williamson and Uwe Helmke, “Existence and Uniqueness Results for Neural Network Approximations,” *IEEE Transactions on Neural Networks*, **6**(1), 2–13, (1995)
- [P31] *Ben James*, Brian D.O. Anderson and Robert C. Williamson, “Characterization of Threshold for Single Tone Maximum Likelihood Estimation,” *IEEE Transactions on Signal Processing* **43**(4), 817–821, (April 1995)
- [P56] *Wee Sun Lee*, Peter L. Bartlett and Robert C. Williamson, “Lower Bounds on the VC-Dimension of Smoothly Parametrized Function Classes”, *Neural Computation* **7**(5), 1040–1053 (1995) (N.B. theorem 10 in this paper is wrong; A correction has been accepted for publication which rescues the result on neural networks.)
- [P33] Uwe Helmke and Robert C. Williamson, “Neural Networks, Rational Functions and Realization Theory” *Mathematics of Control, Signals and Systems*, **8**(1), 27–50, (1995).
- [P54] *Mehmet Karan*, Brian D.O. Anderson and Robert C. Williamson, “Efficient Calculation of the Moments of Matched and Mismatched Hidden Markov Models,” *IEEE Transactions on Signal Processing*, **43**(10), 2422–2425, (1995)

- [P41] *Kim L. Blackmore*, Iven M.Y. Mareels, and Robert C. Williamson, “Learning Nonlinearly Parametrized Decision Regions” Summary in *Journal of Mathematical Systems, Estimation and Control*, **6**(1), 129–132 (1996). Full version to appear later, and available electronically from <ftp://ftp.birkhauser.com/journals/jmsec/articles/gzip/88289.ps.gz>.
- [P55] Peter J. Kootsookos and Robert C. Williamson, “FIR Approximation of Fractional Sample Delay Systems” *IEEE Transactions on Circuits and Systems II: Analog and Digital Signal Processing* **43**(3), 269–271 (1996)
- [P46] Peter L. Bartlett and Robert C. Williamson, “The VC-Dimension and Pseudodimension of Two-Layer Neural networks with Discrete Inputs,” *Neural Computation* **8**, 653–656 (1996).
- [P61] *Darren B. Ward*, Rodney A. Kennedy and Robert C. Williamson, “FIR Filter Design for Frequency Invariant Beamformers,” *IEEE Signal Processing Letters*, **3**, pages 69–71, March 1996.
- [P42] *Kim L. Blackmore*, Robert C. Williamson and Iven M.Y. Mareels, “Local Minima and Attractors at Infinity of Gradient Descent Learning Algorithms,” Summary in *Journal of Mathematical Systems, Estimation and Control*, **6**(2), pages 231–234, (1996). Full version to appear later, and available electronically from <ftp://trick.nte.springer.de/jmsec/85167.ps>.
- [P53] Peter L. Bartlett, Philip M. Long and Robert C. Williamson, “Fat-Shattering and the Learnability of Real-Valued Functions” *Journal of Computer and System Sciences*, **52**(3), 434–452, (1996).
- [P57] *Wee Sun Lee*, Peter L. Bartlett and Robert C. Williamson, “Efficient Agnostic Learning of Neural Networks with Bounded Fan-in” *IEEE Transactions on Information Theory*, **42**(6), 2118–2132, (1996).
- [P64] *Jennifer Fulton*, Robert R. Bitmead, and Robert C. Williamson, “Sampling versus Quantization in Speech Coders”, *Signal Processing* **56**(3), 209–218, 1997.
- [P59] *Kim L. Blackmore*, Robert C. Williamson and Iven M.Y. Mareels, “Decision Region Approximation”, *IEEE Transactions on Information Theory*, **43**(3), 903–907, 1997.
- [P63] *Kim L. Blackmore*, Robert C. Williamson, Iven M.Y. Mareels, William A. Sethares, “On-line Learning via Congregational Gradient Descent”, *Mathematics of Control, Signals and Systems*, **10**(4), 331–363, 1997.
- [P97] Darren B. Ward, Robert C. Williamson and Rodney A. Kennedy, “Broadband Microphone Arrays for Speech Acquisition,” in *Acoustics Australia*, **26**(1), 17–20, April 1998.

- [P65] Erik Weyer, Iven M.Y. Mareels and Robert C. Williamson, “On the Relationship Between Behavioural and Standard Methods for System Identification”, *Automatica* **34**(6), 801–804, 1998.
- [P77] Wee Sun Lee, Peter L. Bartlett and Robert C. Williamson, “The Importance of Convexity in Learning with Squared Loss” *IEEE Transactions on Information Theory* **44**(5), 1974–1980, 1998.
- [P85] John Shawe-Taylor, Peter L. Bartlett, Robert C. Williamson and Martin Anthony, “Structural Risk Minimization over Data-Dependent Hierarchies”, *IEEE Transactions on Information Theory*, **44**(5), 1926–1940 (1998).
- [P88] Peter J. Kootsookos, Darren B. Ward, and Robert C. Williamson, “Imposing Pattern nulls on broadband array responses,” *Journal of the Acoustical Society of America*, **105**(6), 3390–3398, June 1999. (Expanded journal version of P74.)
- [P117] Thushara D. Abhayapala, Rodney A. Kennedy and Robert C. Williamson, “Spatial Aliasing for Nearfield Sensor Arrays,” *Electronics Letters*, **35**(10), 764–765, 13 May 1999.
- [P78] Erik Weyer, Iven M.Y. Mareels, and Robert C. Williamson, “Sample Complexity of Stochastic Least Squares System Identification”, *IEEE Transactions on Automatic Control*, **44**(7), 1370–1383 (1999) (submitted 1995 and actually based in part on results in a CDC paper from 1992!)
- [P108] Thushara D. Abhayapala, Rodney A. Kennedy and Robert C. Williamson, “Noise Modelling for Nearfield Array gain Optimization,” *IEEE Signal Processing Letters*, **6**(8), 210–212, August 1999.
- [P93] Thushara Abhayapala, Rodney A. Kennedy and Robert C. Williamson, “Nearfield broadband array design using a radially invariant modal expansion”, *Journal of the Acoustical Society of America*, **107**(1), 392–403, 2000.
- [P101] Biljana Radlović, Robert C. Williamson and Rodney A. Kennedy, “Equalization in an Acoustic Reverberant Environment: Robustness Results,” *IEEE Transactions on Speech and Audio Processing*, **8**(3), 311–319, May 2000.
- [P115] Bernhard Schölkopf, Alex Smola, Robert Williamson and Peter Bartlett, “New Support Vector Algorithms,” *Neural Computation* **12**(5), 1207–1245, May 2000.
- [P116] Alex J. Smola, Sebastian Mika, Bernhard Schölkopf and Robert C. Williamson, “Regularised Principal Manifolds”, *Journal of Machine Learning Research*, **1**, 179–209, 2001.
- [P128] Simon I. Hill and Robert C. Williamson, “Convergence of Exponentiated Gradient Algorithms,” *IEEE Transactions on Signal Processing*, **49**(6), 1208–1215, June 2001.

- [P132] Bernhard Schölkopf, John C. Platt, John Shawe-Taylor, Robert C. Williamson and Alex J. Smola, “Estimating the Support of a High-Dimensional Distribution,” Microsoft technical report MSR-TR-99-87. Slightly abridged version in *Neural Computation* **13**(7), 1443–1471, 2001. [Full version of P126].
- [P102] Robert E. Mahony and Robert C. Williamson, “Prior Knowledge and Preferential Structures in Learning Algorithms,” *Journal of Machine Learning Research*, **1**, 311–355, 2001. (see the final version on the JMLR web page)
- [P100] Robert C. Williamson, Alex Smola and Bernhard Schölkopf, “Generalization Performance of Regularization Networks and Support Vector Machines via Entropy Numbers of Compact Operators,” *IEEE Transactions on Information Theory*, **47**(6), 2516–2532, 2001.
- [P133] Ying Guo, Peter L. Bartlett, John Shawe-Taylor and Robert C. Williamson, “Covering Numbers for Support Vector Machines,” *IEEE Transactions on Information Theory* **48**(1), 239–250, January 2002. [Refined version of P118]
- [P159] Ralf Herbrich and Robert C. Williamson, “Algorithmic Luckiness” *Journal of Machine Learning Research* **3**, 175–212 (2002).
- [P172] Jyrki Kivinen, Alexander J. Smola and Robert C. Williamson, Online Learning With Kernels, *IEEE Transactions on Signal Processing*, **52**(8), 2165–2176, August 2004.
- [P142] Richard K. Martin, William A. Sethares, and Robert C. Williamson, “Exploiting Sparsity in Adaptive Filters,” *IEEE transactions on Signal Processing*, **50**(8), 1883–1893, August 2002.
- [P168] Darren B. Ward, Eric A. Lehmann and Robert C. Williamson, Particle Filtering Algorithms for Tracking an Acoustic Source in a Reverberant Environment, *IEEE Transactions on Speech and Audio Processing*, **11**(6), 826–836, November 2003.

Accepted Journal Papers

- [P129] Robert C. Williamson, John Shawe-Taylor, Bernhard Schölkopf and Alex J. Smola, “Sample Based Generalization Bounds,” accepted subject to revision to *IEEE Transactions on Information Theory*, November 1999.

Submitted Journal Papers

- [P89] D.B. Ward, R.A. Kennedy, and R.C. Williamson, “Adaptive broadband beamforming with a frequency invariant beampattern parameterization,” *Intern. Journal of Adapt. Control and Signal Process.*, (submitted March 1997).

- [P147] Ying Guo, Peter Bartlett, Alex J. Smola, Robert C. Williamson and Jonathan Baxter, “Norm-based Regularization of Boosting,” submitted to *Journal of Machine Learning Research*, August 2001.
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- [P173] Eric A. Lehmann and Robert C. Williamson, “Particle Filter Design using Importance Sampling for Acoustic Source Localisation and Tracking in Reverberant Environments,” submitted to *EURASIP Journal on Applied Signal Processing*, special issue on Advances in Multi-Microphone Speech Processing. January 2005.
- [P175] Adam Kowalczyk, Alex J. Smola and Robert C. Williamson, “Logic, Trees and Kernels, submitted to *Journal of Machine Learning Research*, 2003.

Patents

- [P141] William A. Sethares, Richard K. Martin and Robert C. Williamson, “New Adaptive methods that Exploit Sparsity,” preliminary patent disclosure, 9 pages. July 2000.

Refereed Conference Papers (International)

- [P2] R.C. Williamson and T. Downs, “Probabilistic Arithmetic and the Distribution of Functions of Random Variables,” *Proceedings of the 1987 IASTED International Symposium on Signal Processing and its Applications*, 112–119, Brisbane, (1987)
- [P1] R.C. Williamson and L.C. Westphal, “Efficient Software Implementation of Cyclic Convolution Algorithms Based on Polynomial Transforms,” *IREECON International Digest of Papers*, 579–582, Melbourne, (1985)
- [P4] Robert C. Williamson, “Interval Arithmetic and Probabilistic Arithmetic,” *IMACS–GAMM–GI International Symposium on Computer Arithmetic and Self-Validating Numerical Methods*, Basel, (4 pages, no page numbers) (October 1989)
- [P9] Brian C. Lovell, Peter J. Kootsookos and Robert C. Williamson, “Efficient Frequency Estimation and Time-Frequency Representations,” *Proceedings of the International Symposium on Signal Processing and its Applications (ISSPA90)*, 170–173, (August 1990)

- [P11] Robert C. Williamson, “ ϵ -Entropy and the Complexity of Feedforward Neural Networks,” *Neural Information Processing Systems 3*, pages 946–952, Morgan Kaufmann, San Mateo, (April 1991)
- [P10] Mark J. Damborg, Robert C. Williamson, Andrew D.B. Paice and John B. Moore, “Adaptive Nonlinear Estimation with Artificial Neural Networks,” *Proceedings of International Symposium on Information Theory and its Applications (ISSITA)*, pages 743–746 (1990)
- [P12] Brian C. Lovell, Peter J. Kootsookos and Robert C. Williamson, “The Circular Nature of Discrete-time Frequency Estimates,” *Proceedings of International Conference on Acoustics, Speech and Signal Processing*, pages 3369–3372, (May 1991)
- [P13] Peter L. Bartlett and Robert C. Williamson, “Perceptron Learning with Reasonable Distributions of Training Examples,” *Proceedings of the International Conference on Artificial Neural Networks*, Volume 2, pages 1033–1036, (1991)
- [P14] Robert C. Williamson and William A. Sethares, “A Provably Convergent Perceptron-like Algorithm for Learning Hyper-cubic Decision Regions,” *Proceedings of the International Conference on Artificial Neural Networks*, Volume 2, pages 1029–1032, (1991)
- [P15] Peter L. Bartlett and Robert C. Williamson, “Investigating the Distribution Assumptions in the PAC Learning Model,” *Proceedings of the Workshop on Computational Learning Theory*, Morgan Kauffmann, San Mateo, pages 24–32, (1991)
- [P19] Ben James, Brian D.O. Anderson, and Robert C. Williamson, “Characterization of Threshold for Multiharmonic Maximum Likelihood Frequency Estimation,” *Proceedings of the International Symposium on Signal Processing and Applications*, pages 255–258, (1992)
- [P20] Robert C. Williamson and Peter L. Bartlett, “Splines, Rational Functions, and Neural Networks,” *Advances in Neural Information Processing Systems 4*, Morgan Kaufmann, San Mateo, pages 1040–1047, (1992)
- [P21] Erik Weyer, Robert C. Williamson and Iven M.Y. Mareels, “An Approach to System Identification Based on Risk Minimization and Behaviours”, *Proceedings of the 31st Conference on Decision and Control*, pages 927–932, (1992)
- [P35] Erik Weyer, Robert C. Williamson, and Iven M.Y. Mareels, “A Principle for System Identification in the Behavioural Framework” *Proceedings of the 12th World Congress of the International Federation of Automatic Control*, Volume 7, pages 387–390 (July 1993)

- [P36] *Kim L. Halliwell*, Robert C. Williamson, and Iven M.Y. Mareels, “Learning Nonlinearly Parametrized Decision Regions” Proceedings of the 12th World Congress of the International Federation of Automatic Control, Volume 5, pages 431–434 (July 1993)
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- [P39] *Mehmet Karan*, Brian D.O. Anderson and Robert C. Williamson, “Robustness of Maximum-Likelihood Frequency Estimators Under Model Errors”, *Proceedings of IEEE Conference on Decision and Control*, pages 3034-3039, (December 1993)
- [P80] Uwe Helmke and Robert C. Williamson, “Parametrization Aspects of Neural Networks and Linear System Theory,” *Proceedings of the European Control Conference*, Groningen, 1993.
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- [P69] *Darren B. Ward*, Rodney A. Kennedy and Robert C. Williamson, “Broadband Beamforming with a Single Set of Filter Coefficients” in *Proceedings of the 1995 IEEE Singapore Int. Conf. on Signal Processing, Circuits and Systems*, pp88-93, Singapore, July 1995.
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- [P70] A. Kowalczyk, J. Szymanski, P.L. Bartlett and R.C. Williamson, “Examples of Learning Curves from a Modified VC-Formalism,” *Advances in Neural Information Processing Systems* 8, MIT Press, 1996 (ISBN 0-262-20107-0).
- [P74] Peter J. Kootsookos, *Darren B. Ward*, and Robert C. Williamson, “Frequency Invariant Broadband Beamforming with Exact Null Design”, pages 105–108 in *Proceedings of the 8th IEEE signal Processing Workshop on Statistical Signal and Array Processing*, 1996. ISBN 0-8186-7576-4.
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- [P98] Bernhard Schölkopf, Peter L. Bartlett, Alex Smola and Robert C. Williamson, “Support Vector Regression with Automatic Accuracy Control”, In L. Niklasson and M. Boden and T. Ziemke (eds.). Proceedings of the 8th International Conference on Artificial Neural Networks, pp. 111 - 116, Springer Verlag, Perspectives in Neural Computing, Berlin.
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- [P121] *Simon Hill* and Robert C. Williamson, “A Signal Processing Analysis of the Exponentiated Gradient Descent Algorithm,” Pages 379–382 in volume 1 of Proceedings of the Fifth International Symposium on Signal Processing and its Applications, (ISBN 1 86435 451 8) ISSPA99.
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