Expressive Efficiency and Inductive Bias of Convolutional Networks:

Analysis & Design via Hierarchical Tensor Decompositions

Nadav Cohen

The Hebrew University of Jerusalem

Conference on Computer Vision and Pattern Recognition (CVPR) 2017

Workshop on Tensor Methods in Computer Vision

Sources

Deep SimNets

N. Cohen, O. Sharir and A. Shashua Computer Vision and Pattern Recognition (CVPR) 2016

On the Expressive Power of Deep Learning: A Tensor Analysis

N. Cohen, O. Sharir and A. Shashua Conference on Learning Theory (COLT) 2016

Convolutional Rectifier Networks as Generalized Tensor Decompositions

N. Cohen and A. Shashua

International Conference on Machine Learning (ICML) 2016

Inductive Bias of Deep Convolutional Networks through Pooling Geometry

N. Cohen and A. Shashua

International Conference on Learning Representations (ICLR) 2017

Tensorial Mixture Models

O. Sharir. R. Tamari, N. Cohen and A. Shashua arXiv preprint 2017

Boosting Dilated Convolutional Networks with Mixed Tensor Decompositions

N. Cohen, R. Tamari and A. Shashua arXiv preprint 2017

Deep Learning and Quantum Entanglement:

Fundamental Connections with Implications to Network Design

Y. Levine, D. Yakira, N. Cohen and A. Shashua arXiv preprint 2017

Collaborators



Or Sharir



Ronen Tamari



Amnon Shashua



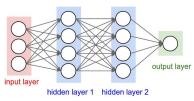
Yoav Levine



David Yakira

Classic vs. State of the Art Deep Learning

<u>Classic</u>



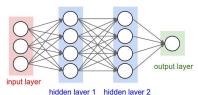
Multilayer Perceptron (MLP)

Architectural choices:

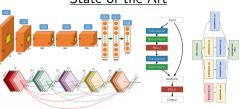
- depth
 - layer widths
 - activation types

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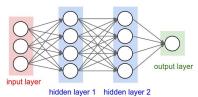
Convolutional Networks (ConvNets)

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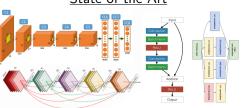
- depth
- layer widths
- activation types
- pooling types
- convolution/pooling windows
- convolution/pooling strides
- dilation factors
- connectivity
- and more...

Classic vs. State of the Art Deep Learning

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Architectural choices:

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Can the architectural choices of state of the art ConvNets be theoretically analyzed?

Outline

Expressiveness

2 Expressiveness of Convolutional Networks – Questions

- 3 Analysis via Hierarchical Tensor Decompositions
- 4 Results

Expressiveness

Expressiveness:

- Ability to compactly represent rich and effective classes of func
- The driving force behind deep networks

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- Ability to compactly represent rich and effective classes of func
- The driving force behind deep networks

Fundamental theoretical questions:

- What kind of func can different network arch represent?
- Why are these func suitable for real-world tasks?
- What is the representational benefit of depth?
- Can other arch features deliver representational benefits?

Expressive efficiency compares network arch in terms of their ability to compactly represent func

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Let:

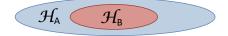
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- \mathcal{H}_B -"- network arch B

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A is **efficient** w.r.t. B if \mathcal{H}_A is a strict superset of \mathcal{H}_B

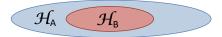


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A is **completely efficient** w.r.t. B if \mathcal{H}_B has zero "volume" inside \mathcal{H}_A



Expressive Efficiency – Formal Definition

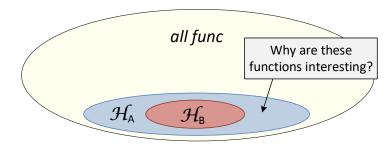
Network arch A is **efficient** w.r.t. network arch B if:

- (1) \forall func realized by B w/size r_B can be realized by A w/size $r_A \in \mathcal{O}(r_B)$
- (2) \exists func realized by A w/size r_A requiring B to have size $r_B \in \Omega(f(r_A))$, where $f(\cdot)$ is super-linear

A is **completely efficient** w.r.t. B if (2) holds for all its func but a set of Lebesgue measure zero (in weight space)

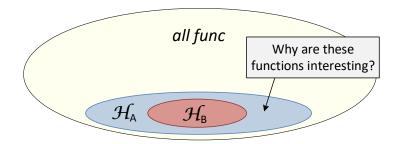
Inductive Bias

Networks of reasonable size can only realize a fraction of all possible func Efficiency does not explain why this fraction is effective



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To explain the effectiveness, one must consider the **inductive bias**:

- Not all func are equally useful for a given task
- Network only needs to represent useful func

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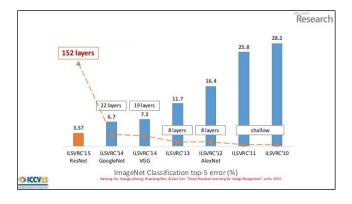
3 Analysis via Hierarchical Tensor Decompositions

4 Results

Efficiency of Depth

Longstanding conjecture, proven for MLP:

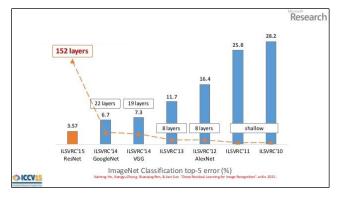
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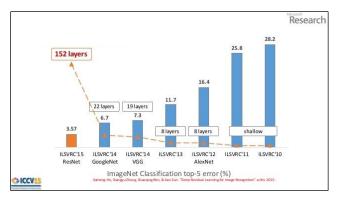


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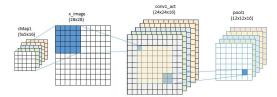
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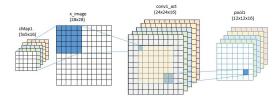
Q: Can this be proven for ConvNets?

Q: Is their efficiency of depth complete? (no such results for MLP)

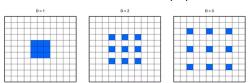
ConvNets typically employ square conv/pool windows



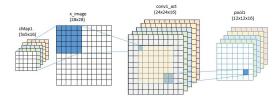
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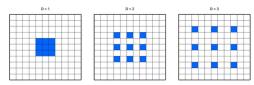
Recently, dilated windows have also become popular



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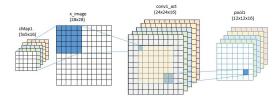


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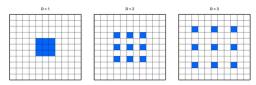


Q: What is the inductive bias of conv/pool window geometry?

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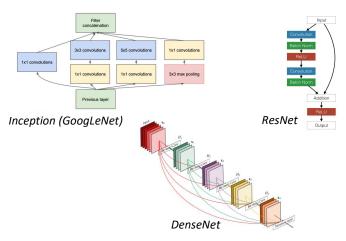
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- **Q:** What is the inductive bias of conv/pool window geometry?
- **Q:** Can the geometries be tailored for a given task?

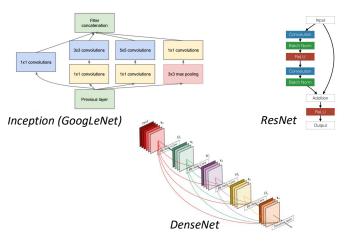
Efficiency of Connectivity Schemes

Nearly all state of the art ConvNets employ elaborate connectivity schemes



Efficiency of Connectivity Schemes

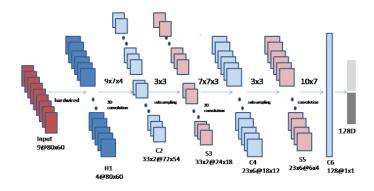
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Q: Can this be justified in terms of expressive efficiency?

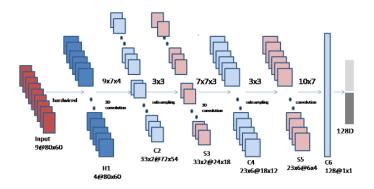
Inductive Bias of Layer Widths

No clear principle for setting widths (# of channels) of ConvNet layers



Inductive Bias of Layer Widths

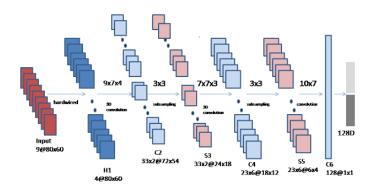
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Inductive Bias of Layer Widths

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- Q: What is the inductive bias of one layer's width vs. another's?
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Convolutional Arithmetic Circuits

To address raised Qs, we begin with a special case of ConvNets:

Convolutional Arithmetic Circuits (ConvACs)

¹Convolutional Rectifier Networks as Generalized Tensor Decompositions, ICML'16

²Deep SimNets, CVPR'16

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ConvACs are equivalent to **hierarchical tensor decompositions**:

- May be analyzed w/various mathematical tools
- Tools may be extended to additional types of ConvNets (e.g. ReLU) ¹

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Besides theoretical merits, ConvACs deliver promising results in practice:

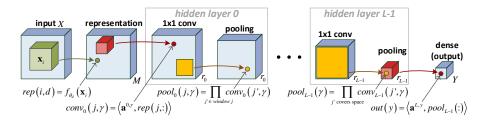
- Excel in computationally constrained settings ²
- Classify optimally under missing data ³

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Baseline Architecture



Baseline ConvAC architecture:

- 2D ConvNet: $conv \longrightarrow L \times (conv \rightarrow pool) \longrightarrow dense$
- Linear activation $(\sigma(z) = z)$, product pooling $(P\{c_j\} = \prod_i c_j)$

Grid Tensors

ConvNets realize func over many local elements:

$$f(\mathbf{x}_1,\mathbf{x}_2,\ldots,\mathbf{x}_N)$$

 \mathbf{x}_i – image pixels (2D network) / sequence samples (1D network)

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 $f(\cdot)$ may be studied by *discretizing* each \mathbf{x}_i into one of $\{\mathbf{v}^{(1)}, \dots, \mathbf{v}^{(M)}\}$:

$$\mathcal{A}_{d_1...d_N} = f(\mathbf{v}^{(d_1)} \dots \mathbf{v}^{(d_N)}) \quad , d_1...d_N \in \{1,\dots,M\}$$

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The lookup table A is:

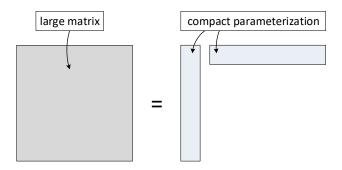
- an N-dim array (tensor) w/length M in each axis (mode)
- referred to as the **grid tensor** of $f(\cdot)$

Tensor Decompositions – Compact Parameterizations

High-dim tensors (arrays) are exponentially large – cannot be used directly

May be represented and manipulated via **tensor decompositions**:

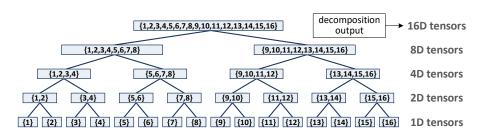
- Compact algebraic parameterizations
- Generalizations of low-rank matrix decomposition



Hierarchical Tensor Decompositions

Hierarchical tensor decompositions represent high-dim tensors by incrementally generating intermediate tensors of increasing dim

Generation process can be described by a tree over tensor modes (axes)



Convolutional Arithmetic Circuits

\longleftrightarrow Hierarchical Tensor Decompositions

Key observation

Grid tensors of func realized by ConvACs are given by hierarchical tensor decompositions. 1-to-1 correspondence:

Convolutional Arithmetic Circuits

←→ Hierarchical Tensor Decompositions

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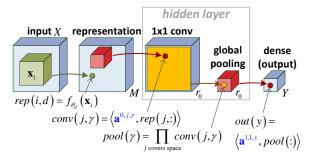
Grid tensors of func realized by ConvACs are given by hierarchical tensor decompositions. 1-to-1 correspondence:

```
\begin{array}{ccc} \text{network structure} & & \text{decomposition type} \\ \text{(depth, width, pooling etc)} & \longleftrightarrow & \text{(mode tree, internal ranks etc)} \\ \text{network weights} & \longleftrightarrow & \text{decomposition parameters} \end{array}
```

We can study networks through corresponding decompositions!

Example 1: Shallow Network ←→ CP Decomposition

Shallow network (single hidden layer, global pooling):



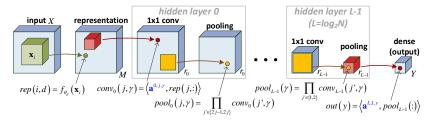
corresponds to classic CP decomposition:

$$\mathcal{A}^{y} = \sum_{\gamma=1}^{r_0} a_{\gamma}^{1,1,y} \cdot \mathbf{a}^{0,1,\gamma} \otimes \mathbf{a}^{0,2,\gamma} \otimes \cdots \otimes \mathbf{a}^{0,N,\gamma}$$

$$(\otimes - \text{outer product})$$

Example 2: Deep Network ←→ HT Decomposition

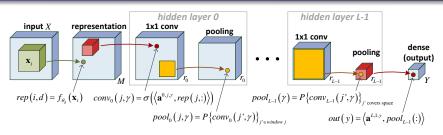
Deep network with size-2 pooling:



corresponds to Hierarchical Tucker (HT) decomposition:

$$\begin{array}{rcl} \phi^{1,j,\gamma} & = & \sum_{\alpha=1}^{r_0} a_{\alpha}^{1,j,\gamma} \cdot \mathbf{a}^{0,2j-1,\alpha} \otimes \mathbf{a}^{0,2j,\alpha} \\ & \cdots & \\ \phi^{l,j,\gamma} & = & \sum_{\alpha=1}^{r_{l-1}} a_{\alpha}^{l,j,\gamma} \cdot \phi^{l-1,2j-1,\alpha} \otimes \phi^{l-1,2j,\alpha} \\ & \cdots & \\ \mathcal{A}^{y} & = & \sum_{\alpha=1}^{r_{l-1}} a_{\alpha}^{l,1,y} \cdot \phi^{l-1,1,\alpha} \otimes \phi^{l-1,2,\alpha} \end{array}$$

From Convolutional Arithmetic Circuits to Convolutional Rectifier Networks



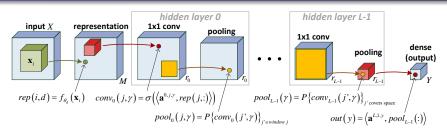
Transform ConvACs to Convolutional Rectifier Networks (R-ConvNets):

linear activation \longrightarrow ReLU activation: $\sigma(z) = \max\{z, 0\}$

product pooling \longrightarrow max/average pooling: $P\{c_i\} = max\{c_i\}/mean\{c_i\}$

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Observation

Transforming ConvAC to R-ConvNet turns corresponding hierarchical tensor decomposition to a generalized one $^{\rm 1}$

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- 3 Analysis via Hierarchical Tensor Decomposition:
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Developing optimization methods for ConvACs may give rise to an arch that is provably superior but has so far been overlooked

Inductive Bias of Pooling Geometry (C.Shashua@ICLR'17)

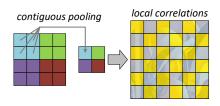
We study ability of ConvACs to model correlations between input regions

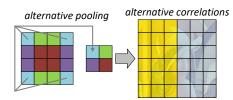
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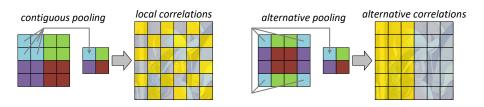


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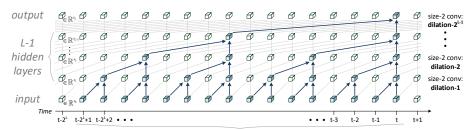
Deep network effectively models some (favored) correlations, but not all. What determines which correlations are favored is the pooling geometry.



Pooling geometry controls correlation profile (inductive bias)! Can be used to tailor networks according to needs of given task!

Efficiency of Interconnectivity (C. Tamari. Shashua@ar Xiv'17)

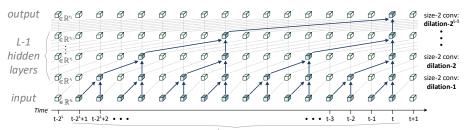
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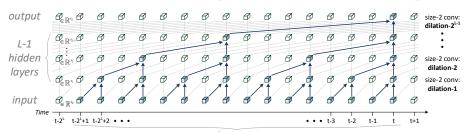
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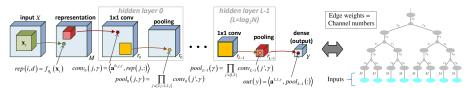
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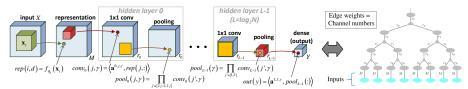
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W/dilated ConvNets interconnectivity brings efficiency!

ConvACs can be cast as **tensor networks** (graphs) from quantum physics:



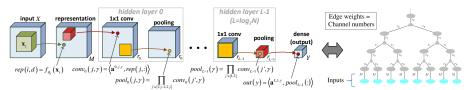
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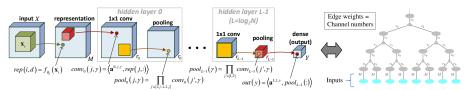
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Layer widths also affect correlation profile (inductive bias)! Can be used to tailor networks according to needs of given task!

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• Expressiveness – the driving force behind deep networks

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- Formal concepts for treating expressiveness:
 - Expressive efficiency network arch realizes func requiring alternative arch to be much larger
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- We analyzed arch features of ConvNets (depth, width, pooling, interconnectivity) in terms of expressive efficiency and inductive bias

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 - Inductive bias prioritization of some func over others given prior knowledge on task at hand
- ConvNets ←→ hierarchical tensor decompositions
- We analyzed arch features of ConvNets (depth, width, pooling, interconnectivity) in terms of expressive efficiency and inductive bias
- Results not only explanatory provide new tools for network design

Thank You