Gray Code Sequences of Partitions

Jon Cohen

Combinatorics Day, 27 May 2004



Jon Cohen Gray Code Sequences of Partitions

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Binary Gray Codes Combinatorial Gray Codes

Binary Reflected Gray Codes



Figure: Frank Gray (Artist's Impression)

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Binary Reflected Gray Codes

• *Question:* How can we list the 2ⁿ bit strings of length n in such a way that succesive strings differ in only one place?

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- The binary reflected gray code is:

$$\mathbf{B}(n) = \begin{cases} \phi & \text{if } n = 0\\ \mathbf{B}(n-1) \cdot 0 \oplus \overline{\mathbf{B}(n-1)} \cdot 1 & \text{if } n > 0 \end{cases}$$

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The reversing of sublists will be crucial later!

Binary Gray Codes Combinatorial Gray Codes

Combinatorial Gray Codes

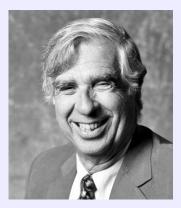


Figure: Herbert Wilf

Jon Cohen Gray Code Sequences of Partitions

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Combinatorial Gray Codes

The General Problem

Given a class of combinatorial objects and a definition of what it means for two objects to be *close*, produce a list of all the objects in that class in such a way that succesive elements are close.

Binary Gray Codes Combinatorial Gray Codes

Combinatorial Gray Codes

First Solution

Find a neat recursive description of the class and use the reversing and gluing procedure.

Binary Gray Codes Combinatorial Gray Codes

Combinatorial Gray Codes

Second Solution

Define the *Gray Graph*: *Vertices*: Objects *Edges*: Two vertices are joined if they are close. Find a Hamiltonian path through this graph.

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Restricted Growth Tails Fixed Number of Blocks Gray Listing

Set Partitions



Figure: Partitioning the Cake Set

Jon Cohen Gray Code Sequences of Partitions

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Set Partitions

Definition

Let X be a set. A *partition* of X is a family of *pairwise disjoint* subsets of X which together contain all of the elements of X. These subsets are called *blocks*.

Given a positive integer *n*, we use [n] to denote the set $\{1, 2, ..., n\}$.

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Moving Elements

• There are two ways of defining "close" for a set partition:

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- First way: Two partitions are close if one element has been moved between blocks.
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 Eg: {{1,2}, {3}} and {{1}, {2,3}} are close partitions of [3].
- Gray code listing: Gideon Ehrlich (1973) using Restricted Growth Tails!

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Restricted Growth Tails

- Define the *Restricted growth tail* (RGT) of a partition of [n] by:
 - Step 1: Lex Order the Partition
 - Step 2: Number the blocks 0, 1, ...
 - Step 3: Form a string of length *n* where the *i*'th entry in this string is the block that *i* appears in.

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- Eg: $\{\{1,3,5\},\{2,4\}\} \leftrightarrow$ "01010"
- If $(x_1, x_2, ..., x_n)$ is a RGT then $0 \le x_i \le 1 + \max\{x_1, x_2, ..., x_{i-1}\}$

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Restricted Growth Tails Fixed Number of Blocks Gray Listing

Inequivalence of Representations

• Two RGT's are close if exactly one element is different.

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 Background
 Restricted Growth Tails

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Inequivalence of Representations

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Fixed Number of Blocks

Inequivalence of Representations

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- This bijection only preserves gray codes in one direction!
- Standard representation \rightarrow RGT representation fails
- Eg: {{1,3}, {2}} is close to {{3}, {1,2}} but:
- RGT of: $\{\{1,3\},\{2\}\} \rightarrow "010"$
- RGT of: {{3}, {1,2}} need to reorder $\{\{1,2\},\{3\}\} \rightarrow "001"$
- Finding a Gray Ordering in the RGT rep was solved by Ehrlich - giving a gray code ordering for standard rep!

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Restricted Growth Tails Fixed Number of Blocks Gray Listing

A Stirling Formula

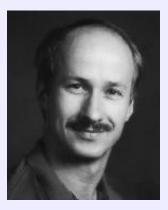


Figure: Frank Ruskey

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A Stirling Formula

- $\binom{n}{k}$ the number of partitions of [n] into blocks of size k.
- This is the Stirling number of the second kind

Lemma

$${n \choose k} = {n-1 \choose k-1} + k {n-1 \choose k}$$

Proof.

Done by Gordon in Lecture 6. But we make an observation. Let $x_1x_2...x_n$ be the RGT of a partition into k blocks and $m = \max\{x_1, x_2, ..., x_{n-1}\}$. Then, if $x_n = k - 1$ then m = k - 1 or k - 2 because $x_n \le 1 + m$. If $0 \le x_n < k - 1$ then m = k - 1, because the partition must have k blocks.

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Back and Forth

Using a slightly more complicated reversing and gluing procedure, Ruskey (1993) managed to use this formula to construct a Gray listing of RGT's of partitions into k blocks.

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- We construct two Gray lists: S(n, k, 0) and S(n, k, 1)
- The construction of one depends on the other.
- Also need to consider k even and odd cases seperately.

The construction

First we do it for even k:

S(n, k, 0) even kS(n, k, 1) even k $S(n-1, k-1, 0).(k-1)\oplus$ $S(n-1, k-1, 1).(k-1)\oplus$ $S(n-1, k, 1).(k-1)\oplus$ $S(n-1, k, 1).(k-1)\oplus$ $S(n-1, k, 1).(k-2)\oplus$ $S(n-1, k, 1).(k-2)\oplus$ \vdots \vdots $S(n-1, k, 1).1\oplus$ $S(n-1, k, 1).1\oplus$ S(n-1, k, 1).0S(n-1, k, 1).0

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The construction

And now for odd k:

S(n, k, 1) odd kS(n, k, 0) odd k $S(n-1, k-1, 0).(k-1)\oplus$ $S(n-1, k-1, 1).(k-1)\oplus$ $S(n-1, k, 1).(k-1)\oplus$ $S(n-1, k, 1).(k-1)\oplus$ $S(n-1, k, 1).(k-2)\oplus$ $S(n-1, k, 1).(k-2)\oplus$ \vdots \vdots $S(n-1, k, 1).1\oplus$ $S(n-1, k, 1).1\oplus$ S(n-1, k, 1).0S(n-1, k, 1).0

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Gray Graphs

A Little Game



Figure: Dr Evil

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- Two integer partitions are close if they differ by the move of one dot in the Ferrer's diagram
- Find Gray listing for:
 - All partitions
 - **2** Partitions into parts of size at most k (Denoted P(n, k))
 - O Partitions into distinct parts
 - Partitions into odd parts
 - 5 ...

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- Carla Savage (1989) found a gray code construction for P(n, k)
- More complicated reversing/gluing procedure
- David Ramussen, Douglas West and Savage (1993):

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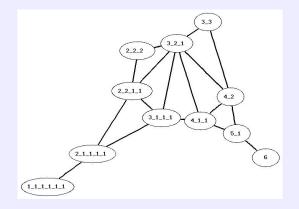
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- Bijection between these does not preserve Gray Codes!
- We concentrate on the Gray Graphs for P(n, n).

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Gray Graphs

Gray Graph for P(6, 6)

Finding a Hamiltonian path "by eye" is not hard in this case.

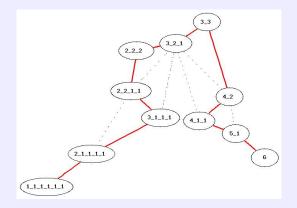


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Gray Graphs

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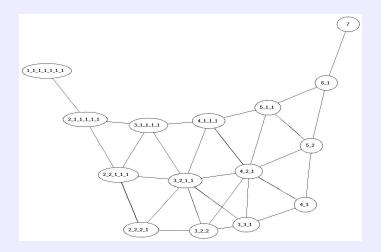


 Figure:
 n = 7
 Image: Imag

Gray Graphs

Gray Graph for P(n, n)

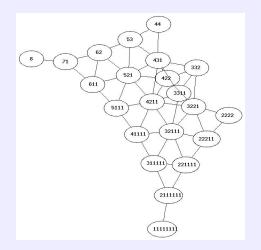


 Figure:
 n = 8
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Gray Graphs

Gray Graph for P(n, n)

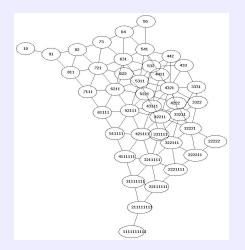


 Figure:
 n = 10
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Gray Graphs

Gray Graph for P(n, n)

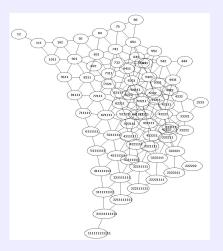


 Figure:
 n = 12
 Image: Image:

Gray Graphs

Enumerating Edges

We can count some things associated with the Gray graph of order (n, n):

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Enumerating Edges

We can count some things associated with the Gray graph of order (n, n):

								8		•••
#Edges	0	1	2	5	9	17	28	47	73	
#HP	1	1	1	1	1	1	52	652	298,896	

Where HP stands for Hamiltonian Paths.

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Gray Graphs

Questions



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