

# An Optimal Dimensionality Sampling Scheme on the Sphere for Antipodal Signals in Diffusion Magnetic Resonance Imaging

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#### Contribution

We propose a sampling scheme on the sphere and spherical harmonic transform (SHT) for reconstruction of the diffusion signal in diffusion magnetic resonance imaging (dMRI) that:

- Requires the optimal number of samples  $N_{\rm O}$ .
- Achieves reconstruction accuracy on the order of  $10^{-14}$ .
- Has rotationally invariant reconstruction accuracy.
- Allows fast SHT computation with lowest complexity.

It is the only sampling scheme with all these desirable properties.

## Problem Background

dMRI is used to determine structure and connectivity of white matter in the brain.

Acquisition and reconstruction of diffusion signal from q-space measurements, where q is the diffusion wave vector, is a central problem in dMRI. Samples are obtained on a single sphere or multiple spheres in q-space.

Number of measurements (images) severely limited; a sampling scheme that allows accurate and computationally efficient reconstruction using minimum number of samples is desirable.

## Mathematical Background

Single Sphere Sampling: Diffusion signal at fixed q-space radius  $d(\theta,\phi)$  is a signal on the sphere with co-latitude  $\theta \in [0,\pi]$  and longitude  $\phi \in [0,2\pi)$ . Spherical harmonics  $Y_\ell^m(\theta,\phi)$ , degree  $\ell \leq 0$  and order  $m \in [-\ell,\ell]$ , form a complete orthonormal set of basis functions on the sphere.

Spherical harmonic (spectral domain) expansion:

$$d(\theta,\phi) = \sum_{\substack{\ell=0 \ \ell \text{ even}}}^{L-1} \sum_{m=-\ell}^{\ell} (d)_{\ell}^{m} Y_{\ell}^{m}(\theta,\phi), \quad L \text{ odd},$$
 (1)

where  $(d)_{\ell}^{m}$  denotes the spherical harmonic coefficient of degree  $\ell$  and order m. The band-limit L depends on the q-space radius. Eq. (1) is known as the inverse SHT.

#### Spherical harmonic transform (SHT):

$$(d)_{\ell}^{m} \triangleq \int_{\mathbb{S}^{2}} d(\theta, \phi) \overline{Y_{\ell}^{m}(\theta, \phi)} \sin \theta \, d\theta \, d\phi. \tag{2}$$

The SHT is calculated numerically; for accurate reconstruction of  $d(\theta,\phi)$ , a sampling scheme must allow accurate computation of Eq. (2).

Antipodally symmetry:  $d(\theta,\phi) = d(\pi-\theta,\phi+\pi)$ . Since  $Y_\ell^m(\theta,\phi) = Y_\ell^m(\pi-\theta,\pi+\phi)$  for even  $\ell$  and  $Y_\ell^m(\theta,\phi) = -Y_\ell^m(\pi-\theta,\pi+\phi)$  for odd  $\ell$ , expansion of  $d(\theta,\phi)$  (Eq. (1)) only includes even  $\ell$  spherical harmonics.

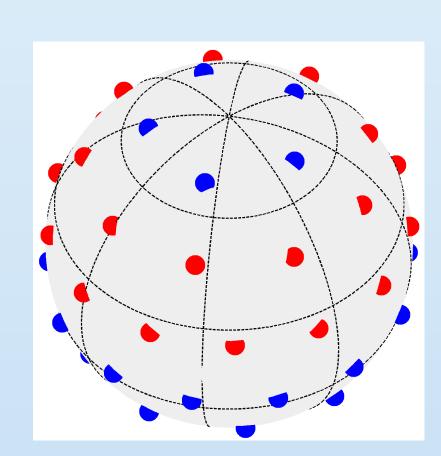
**Optimal dimensionality:**  $N_{\rm O} = L(L+1)/2$  spherical harmonics in Eq. (1), the optimal dimensionality attainable by any sampling scheme that allows accurate computation of SHT.

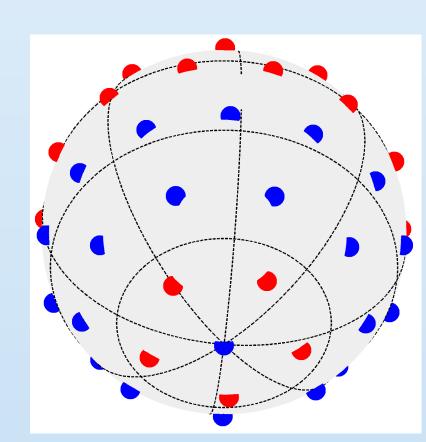
#### Comparison with Existing Schemes

Number of samples required by sampling schemes allowing accurate SHT computation:

Sampling Scheme	Number of Samples
Equiangular [1]	$2L^2$
Spherical Design [2]	$>L^2$
Optimal Dimensionality [3]	$L^2$
Proposed	$L(L+1)/2 = N_{\rm O}$

Less samples used by proposed scheme will reduce scan time required for accurate reconstruction of diffusion signal.





# Proposed Sampling Scheme Structure

Customise [3], which has L iso-latitude rings composed of  $2n+1,\ n=0,1,...,L-1$  samples, for antipodally symmetric signals:

 Place rings in pairs so antipodal to one another. With location of rings:

$$\boldsymbol{\theta} \triangleq [0, \dots, \pi - \theta_{L-3}, \theta_{L-3}, \pi - \theta_{L-1}, \theta_{L-1}]^T, \quad L \text{ odd.}$$
(3)

• k-th sample location, denoted by  $\phi_k^n$ , in ring placed at  $\theta_n$ :

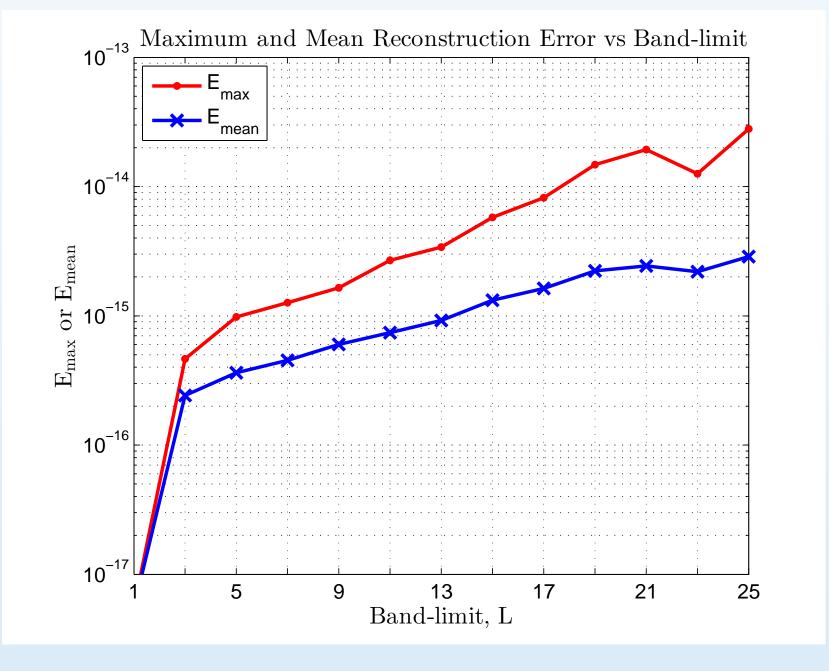
$$\phi_k^n \triangleq \begin{cases} \frac{2k\pi}{2n+1}, & n = 0, 2, \dots, L-1, \quad k \in [0, 2n], \\ \frac{\pi(2k+1)}{2n+3}, & n = 1, 3, \dots, L-2, \quad k \in [0, 2(n+1)]. \end{cases}$$
(4)

Samples in ring  $\theta_n$  are antipodal to samples in  $\theta_{n-1}$  for  $n=2,4,\ldots,L-1$ ; measurements only need to be taken over rings  $\theta_n$ . Since measurements only taken over (L+1)/2 rings, total number of measurements is

$$\sum_{\substack{n=0\\n \text{even}}}^{L-1} (2n+1) = \frac{(L+1)L}{2} = N_{\text{O}}, \tag{5}$$

the optimal spatial dimensionality.

The proposed sampling scheme for L=7 is shown above; locations where measurements are taken are blue and where antipodal symmetry is used to evaluate  $d(\theta,\phi)$  are red.



#### **Numerical Accuracy Experiment**

Reconstruction accuracy for any band-limited antipodal signal is determined by obtaining:

- Spherical harmonic coefficients of band-limited antipodally symmetric test signal  $(f_t)_\ell^m$  for  $0 < \ell < L$ ,  $\ell \text{ even}$ ,  $|m| \le \ell$  real and imaginary parts uniformly distributed  $\in [-1, 1]$ .
- ${f 2}\,f_{\rm t}$  in spatial domain over proposed sampling grid using inverse SHT.
- 3 Spherical harmonic coefficients of the reconstructed signal  $(f_{\rm r})_\ell^m$  using SHT
- $factor{a}$  Maximum error  $E_{
  m max}$  and mean error  $E_{
  m mean}$ :

$$E_{\text{max}} \triangleq \max |(f_{\text{t}})_{\ell}^{m} - (f_{\text{r}})_{\ell}^{m}|, \tag{6}$$

$$E_{\text{mean}} \triangleq \frac{1}{L^2} \sum_{\ell=0}^{L-1} \sum_{m=-\ell}^{\ell} |(f_{\text{t}})_{\ell}^m - (f_{\text{r}})_{\ell}^m|, \tag{7}$$

 $E_{\rm max}$  and  $E_{\rm min}$  are on order of numerical precision, showing proposed sampling scheme and SHT allows for accurate reconstruction of *any* band-limited antipodally symmetric signal.

# **Determining Ring Locations**

Location of rings of iso-latitude samples determined using the following procedure:

• Define a set of equiangular (L+1)/2 samples along  $\theta$ :

$$\Theta = \left\{ \frac{\pi(2t+1)}{2L-1} \right\}, \quad t = 0, 1, \dots, \frac{L-1}{2}.$$
 (8)

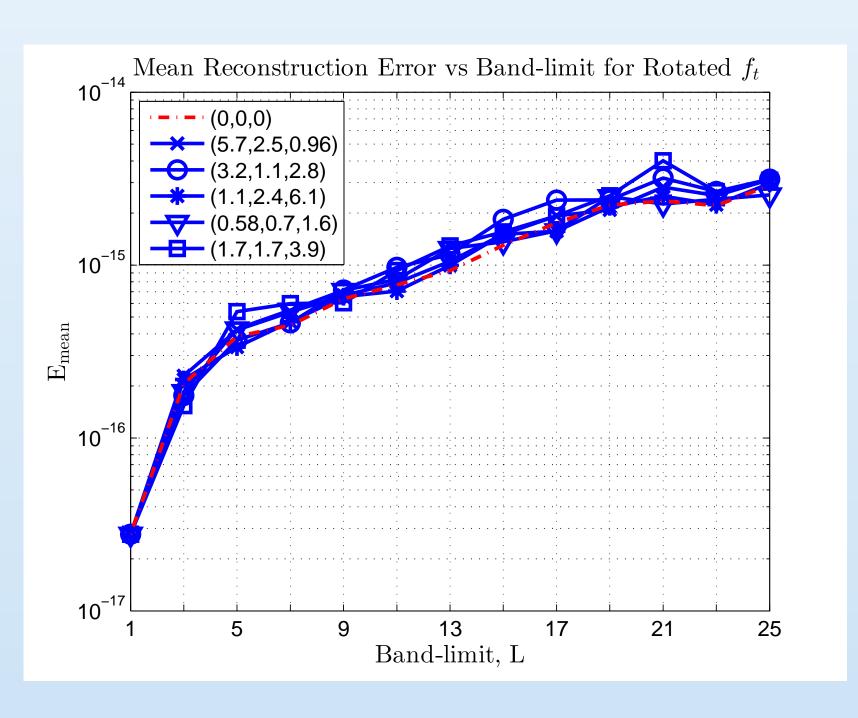
- 2 Choose  $\theta_{L-1}=\frac{\pi(2\lfloor\frac{L-1}{2}\rfloor+1)}{2L-1}$  furthest from the poles, a natural choice for ring of 2L-1 samples (largest number). Then  $\theta_{L-2}=\pi-\frac{\pi(2\lfloor\frac{L-1}{2}\rfloor+1)}{2L-1}$  as antipodal.
- 3 For each  $m=L-3,\,L-5,\,\ldots 2$ , choose  $\theta_m$  and  $\theta_{m-1}=\pi-\theta_m$  from  $\Theta$ , given in (8), that minimises the sum of condition numbers of the matrices  ${\bf P}^m$  and  ${\bf P}^{m-1}$ .
- Choose  $\theta_0 = 0$  or  $\theta_0 = \pi$ .

Results in well-conditioned  $\mathbf{P}_L^m$ , allowing an accurate SHT.

Rotational Invariance Experiment

Numerical accuracy experiment performed on 5 rotated versions of  $f_t$ ; randomly obtain Euler angles  $(\alpha, \beta, \gamma)$  from uniform distributions, where  $\alpha, \gamma \in [0, 2\pi)$  and  $\beta \in [0, \pi]$ .

 $E_{\mathrm{mean}}$  is the same order of magnitude for all rotation angles, demonstrating accuracy does not depend on angle of rotation.



# **Spherical Harmonic Transform**

 $f(\theta,\phi)$  is a band-limited signal on the sphere,  $m{ heta}^m \triangleq [ heta_{|m|},\, heta_{|m|+1},\,\dots,\, heta_{L-1}]^T \subset m{ heta}$  and

$$\mathbf{g}_m \equiv G_m(\boldsymbol{\theta}^m) \triangleq [G_m(\theta_{|m|}), G_m(\theta_{|m|+1}), \dots, G_m(\theta_{L-1})]^T,$$

with

$$G_m(\theta_n) \triangleq \int_0^{2\pi} f(\theta_n, \phi) e^{-im\phi} d\phi = 2\pi \sum_{\ell=|m|}^{L-1} (f)_\ell^m \widetilde{P}_\ell^m(\theta_n), \quad (9)$$

for each order |m| < L and each  $\theta_n \in \boldsymbol{\theta}$ , where  $P_\ell^m(\theta_n) \triangleq Y_\ell^m(\theta_n,0)$  denotes scaled associated Legendre functions.

The spherical harmonic coefficients of order m can be recovered from (9) by setting up a system of linear equations,

$$\mathbf{g}_m = \mathbf{P}_L^m \mathbf{f}_m, \quad |m| \le L, \tag{10}$$

where  $\mathbf{P}_L^m$  is a matrix of size (L-m) imes (L-m) defined as

$$\mathbf{P}_{L}^{m} \triangleq 2\pi \begin{pmatrix} \widetilde{P}_{|m|}^{m}(\theta_{|m|}) & \widetilde{P}_{|m|+1}^{m}(\theta_{|m|}) & \cdots & \widetilde{P}_{L-1}^{m}(\theta_{|m|}) \\ \widetilde{P}_{|m|}^{m}(\theta_{|m|+1}) & \widetilde{P}_{|m|+1}^{m}(\theta_{|m|+1}) & \cdots & \widetilde{P}_{L-1}^{m}(\theta_{|m|+1}) \\ \vdots & \vdots & \ddots & \vdots \\ \widetilde{P}_{|m|}^{m}(\theta_{L-1}) & \widetilde{P}_{|m|+1}^{m}(\theta_{L-1}) & \cdots & \widetilde{P}_{L-1}^{m}(\theta_{L-1}) \end{pmatrix},$$

and  $\mathbf{f}_m$  is a vector of  $(f)_\ell^m$  of |m| < L,

$$\mathbf{f}_{m} = \left[ (f)_{|m|}^{m}, (f)_{|m|+1}^{m}, \dots, (f)_{L-1}^{m} \right]^{T}.$$
 (11)

Computational complexity of SHT is  $(O(L^4))$ , much smaller least squares methods  $(O(L^6))$  used by most sampling schemes.

#### **Future Work**

- Applying proposed sampling scheme to real dMRI images
- ullet Extending scheme to multiple sphere sampling in q-space

References

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[3] Z. Khalid, R. A. Kennedy and J. D. McEwen, "An optimal-dimensionality sampling scheme on the sphere with fast spherical harmonic transforms," IEEE Trans. Signal Process., vol. 62, no. 17, pp. 4597–4610, Sep. 2014.