Over-Speeding Rotational Transmission of a Carbon Nanotube-Based Bearing

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Supporting Information

ABSTRACT: In studying the rotational transmission behavior of a carbon nanotube-based bearing (e.g., (5, 5)/(10, 10)) driven by a CNT motor (e.g., (9, 9)) at finite temperature, one can find that the rotor has different dynamic states from the motor at different environmental condition. In particular, the rotor can be in the overspeeding rotational transmission (ORT) state, in which the rotational speed of the rotor is higher than that of the motor. If we change the rotational frequency of the motor (e.g., >100 GHz) and the curved angle of the rotor, the bearing can reach the ORT state. Besides, in the ORT state, the ratio of the rotor’s rotational speed over that of the motor will be not higher than the ratio of the motor’s radius over that of the rotor. There are two major reasons that result in the bearing to the ORT state. One is that the thermal vibration of atoms between the carbon—hydrogen (C−H) end of the motor and that of the rotor has a drastic collision when the motor is in a high rotational speed. The collision causes the atoms at the end of the rotor to have a circular and axial velocity. The circular velocity leads to the rotation of the rotor and the axial velocity causes the oscillation of the rotor. Another reason is sourced from the oblique angle between the rotor and the stators due to the rotor having a curved angle. A higher oblique angle results in higher friction between the rotor and stator, and it also provides higher collision between the rotor and motor. Hence, one can adjust the transmission state of the rotor by changing not only the environmental temperature but also the rotational speed of the motor, as well as the curved angle of the rotor. The mechanism is essential in guiding a design of a rotational transmission nanodevice which transforms the rotation of the motor into other states of the rotor as output signals.

1. INTRODUCTION

Nanodevices made from carbon nanotube (CNT) has attracted much attention in recent years, due to the excellent mechanical properties of multiple wall carbon nanotubes (MWCNTs), e.g., ~1 TPa of in-shell modulus and superlubricity between shells and high flexibility. The CNT-based nanomotor is, in particular, made so small, e.g., as small as a few nanometers, so that different configurations of the tubes may lead to the motor having different mechanical properties. In 2004, Bourlon et al. investigated the cargo motion of a mass on a CNT bearing under electric force. Barreiro et al. observed the relative motion of the short outer tube on the long inner tube under the axial thermal gradient. Li et al. discussed the sliding behavior between nested MWCNTs and estimated the pull-out force with respect to different walls. Popov et al. investigated the relative motion of a double wall carbon nanotube (DWCNTs) using ab initio calculations. Later on, they reported new results on the intertube interactions in DWCNTs with hydrogenated ends and showed that the edge effect in DWCNTs has a great influence on the relative motion between tubes. Guo et al. found that the coupling of van der Waals (vdW) interaction and thermal vibration near the ends induces a high potential edge barrier and edge force, which is stronger than the intertube interaction in the mid part of the tubes.

In the work mentioned above, the relative motion involved between tubes is mainly translational. As a matter of fact, the relative motion can also be rotational. For instance, Belikov et al. classified the motions of DWCNTs into rotation, sliding, and spiral motion with respect to such factors as radii difference and chiralities of tubes. Kang and Hwang built a complicated model of rotary nanodevice made from a carbon nanotube oscillator, rotary motor, channel, nozzle, etc. The gas flow produced by the oscillator can also drive the rotation of rotary motor. Bourlon et al. fabricated a nanoelectromechanical system (NEMS) with a plate driven by the external electric field to rotate around a multiwall nanotube bearing. Tu and Hu designed a rotary motor from DWCNTs with a short outer tube on a fixed long inner tube. The rotational of the outer tube was observed when an axially varying voltage was applied. Santamaria-Holek et al. found the rotation in a zigzag/zigzag DWCNTs. As the DWCNTs were made from chiral tubes, the spiral motion could also be observed. In the static electronic field, the charged inner tube in an outer tube can also be actuated to rotate. Recently, we further found that
the inner CNT in a fixed outer CNT would rotate under a canonical NVT ensemble with constant temperature. In particular, the rotational frequency was over 100 GHz. From these studies, one can conclude that a rotary nanodevice can theoretically be produced by the above methods.

Understanding of mechanisms of motion transmission is essential in nanomotor development. Similar to the case of a car, its speed is different from that of the motor. From the rotation of the motor in an engine to the running of wheels, there are many steps to change the rotation states. In the process, the bear-box plays an important role. On the basis of this idea, we proposed the concept of a rotational transmission system. To change the rotational direction, we developed an universal nano joint made from curved CNT. From the results reported there, one may find that the rotational speed of the rotor in the DWCNT-based bearing is never higher than that of the motor. Commonly, the rotor rotates slower than the motor. What shall we do when we need an overspeeding that of the motor. Commonly, the rotor rotates slower than the motor. What shall we do when we need an overspeeding the motor. The state is called the reduced transmission (ReT) state.

To demonstrate the rotational transmission results, we classify four types of rotational transmission states as follows.

1. Rt = 0.0. Failure of Transmission. When the absolute value of $R_t$ is greater than 1.0, i.e., the rotor rotates faster than the motor, the system is in the ORT state. Failure of transmission occurs easily when the curved angle of the rotor is small, there is large, it is obvious that, when $\theta$ is large, the oblique angle between the rotor and the motor is also large, which produces higher friction between the rotor and two stators. When the rotational frequency of the motor is 100 GHz or less (Movie 1). When the curved angle of the rotor is small, there exists no such phenomenon. Hence, it concludes that the lower rotational transmission occurs easily when the curved angle of the rotor is relatively large. It is obvious that, when $\theta$ is large, the oblique angle between the rotor and the motor is also large, which produces higher friction between the rotor and two stators. When the rotational frequency of the motor is small, the interaction between the adjacent ends of the motor and rotor reduces further due to the oscillation of the rotor (gap1).

2. MODEL AND METHODS

In the simulation performed by means of an open-source MD package LAMMPS, the adaptive intermolecular reactive empirical bond order (AIREBO) potential is adopted in calculating the interactions of carbon (C) and/or hydrogen (H) atoms in the system. The time step for integration of Newton’s second principle law is 0.001 ps. In simulation, there are four steps in finding the results:

1. Build the model shown in Figure 1 which (a) creates the straight single-walled CNT for motor and DWCNTs for bearing; (b) performs energy minimization of the tubes; (c) curves the right side of the Y-axis (i.e., Z > 0) using the mapping method.

2. Fix four layers of atoms near the left end of the motor, all atoms on the two stators and four layers of statorse close to the upper-right end of the rotor.

3. Carry out a Nosé–Hoover thermal bath for the system at a canonical NVT ensemble, and make the stage last 400 ps.

4. Release the motor and rotor after thermal bath and, simultaneously, apply a fixed rotational period (i.e., the reciprocal of the rotational frequency $\omega_M$) on the four layers of atoms near the left end of the motor. And keep the stage conducting for 10,000 ps.

In the simulation, factors such as the input rotational frequency ($\omega_M$), schemes (A vs. B), temperature, and curved angle ($\theta$) are under consideration. The dynamic responses of the rotor, including rotation ($\omega_R$) and oscillation (gap1), are investigated.

3. RESULTS AND DISCUSSION

3.1. The Dynamic Response of the Rotor in Scheme A at 300 K. In the simulation, the value of $\theta$ is set to be 0° (straight rotor), 20° and 40°, respectively. The value of $\omega_M$ is set to be in the range of $[50, 300]$ GHz with increment of 50 GHz. For simplicity, the concept of rotational transmission ratio of the system is introduced as

$$R_t = \frac{\omega_R}{\omega_M}$$

When the absolute value of $R_t$ is greater than 1.0, i.e., the rotor rotates faster than the motor, the system is in the ORT state.

To demonstrate the rotational transmission results, we classify four types of rotational transmission states as follows.

1. $R_t = 0.0$. Failure of Transmission. When the rotor reaches a stable state and keeps $R_t = 0.0$, the transmission has failed. The stable state is called the failed transmission (FaT) state.

In Figure 2a, there exists no such result. It means that rotational transmission can happen easily.

2. $R_t < 1.0$. If $R_t < 1.0$, the rotor rotates stably slower than the motor. The state is called the reduced transmission (ReT) state.

In Figure 2a, the history (orange) curves of $R_t$ for the case of $\theta = 40^\circ$ indicates that the value of $R_t$ is not higher than unity when the rotational speed of the rotor is stable and the rotor is driven by the motor with no more than 100 GHz of input rotational frequency. Simultaneously, as shown in Figure 2b, the oscillation of the rotor exists, but is not obvious, when the rotational frequency of the motor is 100 GHz or less (Movie 1). When the curved angle of the rotor is small, there exists no such phenomenon. Hence, it concludes that the lower rotational transmission occurs easily when the curved angle of the rotor is relatively large. It is obvious that, when $\theta$ is large, the oblique angle between the rotor and the motor is also large, which produces higher friction between the rotor and two stators. When the rotational frequency of the motor is small, the interaction between the adjacent ends of the motor and rotor reduces further due to the oscillation of the rotor (gap1).
Hence, the low-speed motor cannot drive the large-curved rotor rotate synchronously with it.

(3). \( R_t = 1.0 \). In a stable state with \( R_t = 1.0 \), the motor and the rotor rotate synchronously. The state is called the synchronous transmission (SyT) state.

In Figure 2a, the four (gray) curves, i.e., the ones for \( \theta = 0^\circ \) at \( \omega_M = 50 \text{ GHz} \), \( \theta = 20^\circ \) at \( \omega_M = 100 \text{ GHz} \), \( \theta = 40^\circ \) at \( \omega_M = 150, 200 \text{ GHz} \), demonstrate that the rotational frequency of the rotor increases from zero to that of the motor (Movie 2). In the process, the adjacent ends between the motor and rotor attract each other. The attraction drives the rotation of the rotor. However, the friction between the rotor and the two stators prevents further increase in the rotor’s rotational speed. From Figure 2b, one can see that the oscillation of the rotor is also weak. It indicates that the motor and the rotor are “glued” together during rotating (Figure 3a). Hence, the motor with a rotational frequency lower than 200 GHz can drive the rotor rotate not in ORT state but in SyT state instead.

(4). \( R_t > 1.0 \). As mentioned previously, when \( R_t > 1.0 \), the rotor is in the ORT state. The black curves shown in Figure 2a, indicates that the rotor has higher rotational speed than that of the motor (Movie 3). It obeys the rule that the rotor with higher curved angle in ORT state should be driven by the motor having higher rotational speed. It should be mentioned that the friction between the rotor and the two stators is lower when the rotor has smaller curved angle. From the view of interaction, the rotor is still exerted on with the actuate force by the motor and with the friction force by the two stators. When the rotational speed of the motor is high enough, the interaction between the adjacent ends becomes complicated. For example, the thermal vibration of atoms at the adjacent ends results in obvious radial deviation and the collision between the end atoms on the motor and rotor occurs easily. Thermal vibration of end atoms also increases the relative speed of collision between the motor and rotor. The transient collision can be considered as an elastic interaction between them due to C–H bonds on adjacent ends. Therefore, the end atoms on the rotor obtain a relative high velocity along the circular direction, which provides higher rotational frequency of the rotor due to the radius of the rotor being less than that of the motor (Figure 3b). However, further rotational acceleration of the rotor is confined by the resistance from the two stators. Therefore, we can conclude that the ORT state of the rotor can only be reached when the radius of the rotor is less than that of the motor at a high temperature. Meanwhile, the maximal rotational frequency should be not higher than its ideal transmission frequency:

\[
\omega_k \leq \omega_k^{\text{ideal}} = \frac{r_M}{r_R} \omega_M
\]
This phenomenon was found in our previous work. The oscillation becomes obvious when the rotational speed of the rotor is close to 200 GHz. For this rotor, its stable large-amplitude oscillation (Movie 3). For this rotor, its stable large-amplitude oscillation (Movie 3). It may be due to that the oblique angle between the adjacent ends of the motor and the rotor becomes stronger and starts earlier in scheme B. Therefore, the rotor reaches the stable state earlier.

As one can see that the oscillation of the rotor (history curve of gap1) is very obvious when it is driven by a 200 GHz motor (Figure 2b). When the curved angle of the rotor is small, e.g., 0° or 20°, the oscillation stops after ~5 to 7 ns. However, the rotor with a curved angle of 40° has more stable large-amplitude oscillation (Movie 3). For this rotor, its stable rotational frequency tends to be identical to that of the motor after ~6.20 ns. What is the reason for this? By comparing the oscillation of the rotor shown in Figure 2b, we find that only when the rotational speed of the rotor is close to 200 GHz does the oscillation become obvious. Hence, we consider that 200 GHz should be nearby the eigen frequency of the rotor when it has a stable large-amplitude oscillation while rotating. This phenomenon was found in our previous work. The difference is that the current rotor is a curved tube. It indicates that either straight or curved rotor can be in the state of oscillation with rotation. The eigen frequency changes with the rotor’s environment. More discussions are given below.

3.2. Effects of gap2 on the Dynamic Response of the Rotor. In above discussion, the axial distance between the motor and stators, i.e., gap2, is fixed to a value of 0.85 nm in all related simulations (scheme A). Can the rotor behave differently if we only reduce the length of the stator by removing 4 layers of atoms near the outer ends of the two stators? In the present scheme (B), the value of gap2 is 1.33 nm after removing the atoms. Figure 4 shows the rotational transmission results of the system in scheme B at the canonical NVT ensemble with \( T = 300 \) K.

Comparing Figure 4 with Figure 2, one can see that most results are similar except the following four obvious differences.

1. In most cases, the rotor reaches the stable rotational state earlier in scheme B than in scheme A. As we know that the oblique angle between the same curved rotor and two shorter stators (in scheme B) is higher. Hence, the free rotation of the rotor in scheme B has a higher deflection from z-axis than that in scheme A. The interaction between the adjacent ends of the motor and the rotor becomes stronger and starts earlier in scheme B. Therefore, the rotor reaches the stable state earlier.

2. Driven by the motor with 200 GHz of rotational frequency, the rotor with a curved angle of 40° is in the ReT state in scheme B while the rotor is in the SyT state in scheme A. By comparing the oscillation of the rotor in both schemes, one can see that the amplitude of oscillation along curved axis is different; e.g., the amplitude in scheme B (Movie 4) is greater than that in scheme A (Movie 3). It may be due to that the oblique angle between the rotor and stator1 in scheme B is larger than that in scheme A. The collision between the adjacent ends of the motor and rotor in scheme B provides higher axial velocity but lower circular velocity of the rotor. Therefore, the amplitude of oscillation increases while the rotational speed drops.

3. Figure 4b shows that, driven by the motor with 200 GHz of rotational frequency, the rotor with a curved angle of 20° is also in a state of oscillation together with rotation. However, the rotational frequency of the rotor is increasing before 8.5 ns. After 8.5 ns, the rotor is in the SyT state rather than in the ORT state in scheme A. The rotation of the rotor lasts for a long time.

4. The FaT state appears when the rotor has a curved angle of 20° and is driven by a 250 GHz motor. One can find

\[
R_t \leq \frac{r_M}{r_R} \quad (3)
\]

where \( r_M \) and \( r_R \) are the radii of the motor and the rotor, respectively. \( \omega_{rot}^{ideal} \) is the rotational frequency of the rotor with ideal rotational transmission state, i.e., the two adjacent ends are tangent and have no relative sliding, i.e., the linear velocity of the atoms (on the motor and rotor) at the point of tangency are the same. From eqs 1 and 2, one can find the following relationship

In the present simulation, the value of \( r_M/r_R \) is 1.8 for the system with (9, 9) motor and (5, 5) rotor. In Figure 2, there is no stable value of \( R_t \) that is higher than 1.8.

Figure 4. (a) Histories of \( R_t \) and (b) histories of gap1 in scheme B with the rotor having different curved angle (0°, 20°, and 40°) and motor having different input rotational frequency (50, 100, 150, 200, 250, and 300 GHz). The time when the system is in ORT state, i.e., \( R_t \) is greater than 1.0, is labeled. The stable rotational transmission ratios for different cases are listed in Table 1.
that the 20° rotor can be driven to rotate by the motor with any other rotational speed. The reason is mainly due to the edge collision between the motor and rotor cannot provide enough torque to drive the rotor which is simultaneously prevented from the friction between the rotor and stators. The rotational frequency of the motor, i.e., 250 GHz, may be aligned with the breath vibration of the lower left end of the rotor at the present configuration, and the interaction decreases sharply as compared with the motor rotating in other frequencies.

The effect of the other CNT pairs, e.g., with different chirality of the motor, rotor, and stators in the model, in the transmission state is not considered due to two reasons. One is that, comparing with zigzag CNT, an armchair CNT is easier to act as an oscillator in the transmission system. The other is that, comparing with zigzag CNT, an armchair CNT is easier to provide enough torque to drive the rotor which is strongly enough to produce kinetic energy that maintains the rotation and/or oscillation of the rotor. That is the reason why the two tubes do not collide even if it is in rotating. Hence, the two tubes do not collide strongly enough to produce kinetic energy that maintains the rotation and/or oscillation of the rotor. That is the reason why the rotor is in an FaT state.

Figure 5 shows the potential energy (PE) histories of the motor. It indicates that the PE of the motor depends mainly on its rotational speed and slightly on the curved angle of the rotor. In brief, the centrifugal force on each atom on the motor becomes stronger when the rotational speed is higher, and the centrifugal force provides higher deformation which needs higher PE in the motor. Hence, from Table 1 we find that the value of PE increase with the rotational frequency in [50, 250] GHz. However, the PE of the 300 GHz motor has a jump (down). It is known that the radius of the motor increases with the increasing of the rotational frequency which provides centrifugal force. At 300 GHz, the motor and the rotor reaches a new equilibrium state which has lower PE. The potential within the bearing changes slightly because of very slight oscillation of the rotor in motor (see Figure 2b and Figure 4b).

Table 1 also demonstrates that the \( R_t \) depends slightly on the curved angle of the rotor when it is driven by a high-speed (e.g., 250, 300 GHz) motor in both schemes. \( R_t \) reaches its maximal value when the straight rotor in the shorter stators (scheme B) is driven by the 200 GHz motor.

### 3.3. Temperature Effects on the Dynamic Response of the Rotor

To show the effects of temperature on the output response of the motor, we compare the results of the model in scheme B with canonical NVT ensembles at temperatures of 8, 150, 300, and 450 K (Figure 6). Meanwhile, the input rotational frequency of the motor is set to be 200 GHz, which leads to obvious oscillation of the rotor.

When the environmental temperature is nearly zero, e.g., 8 K, the rotor has neither rotation nor oscillation. As we know, the thermal vibration of atoms on the motor and rotor is negligible at such low temperature. Hence, the atoms are in the ideal shell even if it is in rotating. Hence, the two tubes do not collide strongly enough to produce kinetic energy that maintains the rotation and/or oscillation of the rotor. That is the reason why the rotor is in an FaT state.

At 150 K, thermal vibration of atoms causes enough circular velocity on the rotor. Hence, the curved rotor enters ORT state. However, the rotor oscillates when the rotational state becomes stable. It is interesting that the stable value of \( R_t \) is nearby 1.2 for the three curved rotors. In particular, when
\( \theta = 20^\circ \), the rotational frequency of the rotor has such characters as large-amplitude and periodic (Movie 5). The value of \( R_t \) is between \([1.0, 1.6]\) during rotating.

At 450 K, both rotors with \( \theta = 0^\circ \) and \( 20^\circ \) can be in the ORT state with a stable value of \( R_t \) nearby 1.45. The rotors also have slight oscillation after \( \sim 7 \) ns. However, when \( \theta = 40^\circ \), the rotor with large-amplitude oscillation is in the ReT state. As comparing with the oscillation of the 40° rotor at 300 K, the amplitude of the present rotor becomes lower at higher temperature. It implies that the drastic thermal vibration of atoms on rotor results in higher friction between the two stators.

For the straight \( (\theta = 0^\circ) \) rotor, the stable value of \( R_t \) can be adjusted by changing the environmental temperature. For the curved \( (\theta = 20^\circ \) and \( 40^\circ) \) rotor, the transmission state can also be varied among the three states, i.e., static, the rotating-only, and the oscillating while rotating. It is significant for the application of the system in a NEMS.

4. CONCLUSIONS

Using MD simulations, we study the dynamic response of a carbon nanotube-based rotational transmission system with a curved rotor constrained by two short stators and driven to rotate by a motor. Some conclusions are necessarily demonstrated for the potential application in the design of a NEMS.

(1) Because of high thermal vibration of atoms on the adjacent ends of the motor and rotor, the collision from these atoms drive the rotation of the rotor. The system can be in four states, i.e., the FaT, ReT, SyT, and ORT states, when we control the environmental temperature and the curved angle of the rotor.

(2) The rotor can be in the ORT state only when the radius of the rotor is less than that of the motor. The maximal rotational transmission ratio is no higher than the ratio of the radius of the motor and that of the rotor.

(3) When the rotational frequency of the motor is lower than 100 GHz, the rotor will be in the ReT or SyT state rather than in the ORT state.

(4) The oblique angle between the curved rotor and the stators determines the interaction between the rotor and other tubes. Constrained by the shorter stators, the oblique angle is higher and the stators provide higher friction to the rotor. Simultaneously, the interaction between the motor and rotor also increases. But the collision of atoms between the motor and rotor provides different velocities along the axis and the circular directions of the rotor. Hence, the oscillation can start easily if the rotor is constrained by shorter stators.

(5) For a rotor with fixed curved angle, the transmission state can be adjusted by changing the environmental temperature. The transmission state can be static (FaT), rotation, and rotation together with large-amplitude oscillation. It is essential for the design of temperature-dependent nano-devices.

ASSOCIATED CONTENT

Supporting Information
The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpcc.6b00420.

Full captions for the movies. (PDF)

Movie 1: A\(-300\ \text{K}\)-\(40^\circ\)-\(50\ \text{GHz}\)-\([8.0, 8.2]\ \text{ns}\) (AVI)
Movie 2: A\(-300\ \text{K}\)-\(20^\circ\)-\(50\ \text{GHz}\)-\([8.0, 8.2]\ \text{ns}\) (AVI)
Movie 3: A\(-300\ \text{K}\)-\(40^\circ\)-\(200\ \text{GHz}\)-\([8.0, 8.2]\ \text{ns}\) (AVI)
Movie 4: B\(-300\ \text{K}\)-\(40^\circ\)-\(200\ \text{GHz}\)-\([8.0, 8.2]\ \text{ns}\) (AVI)
Movie 5: B\(-150\ \text{K}\)-\(20^\circ\)-\(200\ \text{GHz}\)-\([8.0, 8.2]\ \text{ns}\) (AVI)

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Notes
The authors declare no competing financial interest.

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