The Asymptotic Number of Claw-free Cubic Graphs

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Abstract

Let H_n be the number of claw-free cubic graphs on 2n labeled nodes. In an earlier paper we characterized claw-free cubic graphs and derived a recurrence relation for H_n . Here

we determine the asymptotic behavior of this sequence:

$$H_n \sim \frac{(2n)!}{e\sqrt{6\pi n}} \left(\frac{n}{2e}\right)^{n/3} e^{(n/2)^{1/3}}$$

We have verified this formula using known asymptotic estimates of cubic graphs with loops and multiple edges and also by the method of inclusion and exclusion.

1 Introduction

At the 1992 Kalamazoo conference at Western Michigan University, M. D. Plummer (see [Pl95]) asked for the probabilistic behavior of hamiltonicity in claw-free cubic graphs, in the planar case and in general. In our first paper [PaRR9x] approaching these problems, we used combinatorial reductions to derive a second order, linear homogeneous equation with polynomial coefficients whose power series solution is the exponential generating function for claw-free cubic graphs. From this we derived the following recurrence relation for H_n , the number of labeled claw-free cubic graphs of order 2n:

$$\begin{aligned} H_{n+1} &= (6n-5) \begin{pmatrix} 2n+1\\ 3 \end{pmatrix} H_{n-1} + 60(2n^2-7) \begin{pmatrix} 2n+1\\ 5 \end{pmatrix} H_{n-2} \\ &+ 420(12n-31) \begin{pmatrix} 2n+1\\ 7 \end{pmatrix} H_{n-3} - 60480(4n-19) \begin{pmatrix} 2n+1\\ 9 \end{pmatrix} H_{n-4} \\ &- 3326400(6n^2-54n+127) \begin{pmatrix} 2n+1\\ 11 \end{pmatrix} H_{n-5} \\ &- 172972800(9n^2-108n+347) \begin{pmatrix} 2n+1\\ 13 \end{pmatrix} H_{n-6} \\ &- 54486432000(n-1) \begin{pmatrix} 2n+1\\ 15 \end{pmatrix} H_{n-7} \\ &+ 59281238016000(n-7) \begin{pmatrix} 2n+1\\ 17 \end{pmatrix} H_{n-8} \\ &+ 422378820864000(18n-97) \begin{pmatrix} 2n+1\\ 19 \end{pmatrix} H_{n-9} \end{aligned}$$

+ 6563766876226560000
$$\binom{2n+1}{21} H_{n-10}$$

+ 673229602575129600000 $\binom{2n+1}{23} H_{n-11}$. (1.1)

Of course H_{n-j} is zero whenever j > n. With the initial conditions $H_0 = 1$ and $H_1 = 0$, (1.1) can be used to compute the values of H_2, \dots, H_{n+1} using just O(n) arithmetic operations each. In this way we computed the values shown in Table 2 of [PaRR9x], where one finds, for example, that H_{26} is

$\begin{array}{r} 1016031492424337300070147499566814430489390287664828 \\ 5295864422890087890625. \end{tabular} (1.2) \end{array}$

In this paper, which forms the second stage of our approach to Plummer's problems, we determine the asymptotic behavior of H_n .

Theorem 1.1. The number H_n of labeled claw-free cubic graphs of order 2n has the asymptotic value:

$$H_n \sim \frac{(2n)!}{e\sqrt{6\pi n}} \left(\frac{n}{2e}\right)^{n/3} e^{(n/2)^{1/3}}.$$
 (1.3)

We will derive the asymptotic result of the theorem in two ways. The first depends on the characterization of claw-free cubic graphs developed in [PaRRx] as well as the known asymptotic behavior of cubic general graphs (see [BeC78] or [Bo80]). The second method takes a more direct approach using inclusion and exclusion, which eliminates considerable fussing over negligible contributions.

For general graph theoretic terminology we use [HP73] except for adopting the more conventional names "nodes and edges" instead of "points and lines". We assume a basic knowledge of labeled enumeration techniques using egf's, such as is found in Chapter 1 of [HP73], as well as the terminology developed in [PaRR9x] used to characterize claw-free cubic graphs. From here on we frequently refer to the later as cfc's. In a cfc a node may be in exactly two triangles precisely if it is a node of degree 3 in an induced subgraph isomorphic to $K_4 - e$; we call such a subgraph a diamond. A maximal set of diamonds which are adjacent in series is called a string of diamonds. A connected graph in which every node is contained in a diamond is called a *ring of diamonds*. A ring of diamonds must contain at least 2 diamonds.

2 Formulas for cubics and claw-free cubics

The notions of *dilation* and *expansion* of cubic general graphs, explained fully in [PaRR9x], form the basis of our characterization of cfc's. Roughly speaking, a general cubic G is *dilated* by replacing each node u by a triangle, i.e. three new mutually adjacent nodes, say u_a, u_b and u_c . If u and v are adjacent in G, then u_a and v_a are adjacent in the dilation G'. As a consequence, G' is a cubic multigraph in which each node belongs to a triangle and the only multiple edges come from loops in G. Each loop in G gives rise to an instance of the configuration known as a trumpet in G'. Next G'is *expanded* by placing a string of at least one diamond on just one edge of every double edge of all the trumpets and arbitrary (possibly empty) strings of diamonds on the edges of G' that correspond to original edges of G. The end result is a graph G'' which is a cfc. Let G_n be the number of labeled *cfc*'s of order 2n that can be built in this way, i.e. by dilating and expanding general cubic graphs which have no components isomorphic to the triple edge of order 2. Our next goal is to determine the asymptotic behavior of G_n .

Let $g(2m, \ell, d)$ be the number of labeled cubic general graphs of order 2m with ℓ loops, d double edges and no triple edges. Then if s is the number of single edges in such a graph, of course

$$3(2m) = 2s + 4d + 2\ell.$$

Then we define $F(2n, \ell, d)$ to be the number of cfc's of order 2n built from general cubics with ℓ loops, d double edges and no triple edges by dilating vertices and expanding edges. For fixed n, ℓ and d, we have

$$F(2n,\ell,d) = \sum g(2m,\ell,d) \binom{2n}{6m} \frac{\binom{6m}{3,\dots,3}}{(2m)!} (3!)^{2m-\ell-2d} (3^2 \cdot 2)^d 3^\ell$$

$$\left(\begin{pmatrix} 3m\\ j-\ell \end{pmatrix} \right) \begin{pmatrix} 4j\\ 4,\dots,4 \end{pmatrix} 12^j, \tag{2.1}$$

where the sum is over all values of m and j with 2n = 3(2m) + 4j and $j \ge \ell$. Here is a sketch of the justification of this formula. Suppose G is a cubic general graph counted by $g(2m, \ell, d)$. First we choose 6m labels from the 2n available and we arrange them in 2m unordered groups of three each for dilation. The number of ways to do this is

$$\binom{2n}{6m}\binom{6m}{3,\ldots,3}/(2m)!.$$

Then it can be seen that the number of ways to form the adjacencies in a dilation is

 $(3!)^{2m-\ell-2d}(3^2\cdot 2)^d\cdot 3^\ell.$

Since a diamond must be assigned to each loop, there are $j - \ell \ge 0$ remaining which can be strung on any of the 3m original edges of the dilation in $\binom{3m}{j-\ell}$ ways. Note that we use the repeated parentheses to indicate combinations with repetition. Now there are $\binom{4j}{4,\dots,4}$ ways to arrange the remaining labels in groups for the diamonds and 12 ways to assign labels to each of the j groups. On applying the multiplication principle, we arrive at (2.1).

Now G_n can be expressed in terms of the $F(2n, \ell, d)$:

$$G_n = \sum F(2n, \ell, d), \qquad (2.2)$$

where the sum is over all relevant values of ℓ and d.

To evaluate G_n asymptotically we begin with a lemma for $F(2n, \ell, d)$.

Lemma 2.1. For both $\ell, d = o(\sqrt{n})$,

$$F(2n,\ell,d) \sim \frac{e^{-2}}{\ell!d!} \left(\left(\frac{2}{n}\right)^{2/3}/2 \right)^{\ell} \frac{(2n)!}{\sqrt{6\pi n}} \left(\frac{n}{2e}\right)^{n/3} e^{(n/2)^{1/3}}.$$

Proof. The basis for this result is the following formula for $g(2m, \ell, d)$ which holds for both ℓ and $d = o(\sqrt{m})$:

$$g(2m,\ell,d) \sim \frac{e^{-2}}{\ell!d!} \frac{(6m)!}{2^{3m}(3m)!} \div \left\{ (3!)^{2m-\ell-2d} (3^2 \cdot 2)^d \cdot 3^\ell \right\}.$$
 (2.3)

It can be derived using inclusion and exclusion on two types of properties for loops and double edges (see also [BeC78]).

It can also be shown that for all ℓ and d

$$g(2m,\ell,d) = O(1) \frac{(6m)!}{2^{3m}(3m)!} \frac{1}{(3!)^{2m}} \frac{2^{\ell} 2^d}{\ell! d!}$$

and hence the total number of general cubic graphs of nodes 2m is dominated by the graphs for which (2.3) holds. It requires some more work to show that (2.3) holds for the summands which dominate in (2.1). Then on substituting the right side of (2.3) in (2.1) and eliminating m we obtain

$$F(2n, \ell, d) \sim \frac{e^{-2}}{\ell! d!} \sqrt{\frac{3}{2\pi}} (2n)! \sum \left(\frac{n-2j}{2e}\right)^{\frac{n-2j}{3}} \frac{1}{(n-2j)^{1/2}} {\binom{n-j-\ell-1}{j-\ell}} \left(\frac{1}{2}\right)^j, \qquad (2.4)$$

where the sum is over all $j \ge \ell$ with 2n = 3(2m) + 4j, as above in (2.1).

An application of the ratio test on the right side of (2.4) shows that the sum peaks for j near $(n/2)^{1/3}$. And for $j = O((n/2)^{1/3})$ we have

$$\left(\frac{n-2j}{2e}\right)^{\frac{n-2j}{3}} \frac{1}{(n-2j)^{1/2}} \sim \frac{1}{\sqrt{n}} \left(\frac{n}{2e}\right)^{n/3} \left(\frac{2}{n}\right)^{2j/3}$$
$$\binom{n-j-\ell-1}{j-\ell} \sim \frac{n^{j-\ell}}{(j-\ell)!}.$$

Some calculation shows that the sum in (2.4) is dominated by the terms for which $j \leq 3n^{1/3}$. And the extra terms added in (2.5) below are seen to be negligible.

This implies

and

$$F(2n, \ell, d) \sim \frac{e^{-2}}{\ell! d!} \left(\left(\frac{2}{n}\right)^{2/3} / 2 \right)^{\ell} \sqrt{\frac{3}{2\pi n}} (2n)! \left(\frac{n}{2e}\right)^{n/3}$$
$$\sum_{j \ge \ell} \frac{\left((n/2)^{1/3}\right)^{j-\ell}}{(j-\ell)!}.$$
(2.5)

Notice that the sum is over those values of j that satisfy the equation 2n = 3(2m) + 4j and hence $n - 2j \equiv 0 \pmod{3}$. For example if n is a multiple of 3 and $\ell = 0$, then the sum in (2.5) retains every third term in the exponential series for $(n/2)^{1/3}$. The extraction from a power series of every r-th term is fully explained in Wilf's book [Wi90] (see pp. 47-48) and all of the details are given for the case we need with r = 3. As a consequence, the sum in (2.5) is asymptotic to $(\exp((n/2)^{1/3}))/3$ from which the formula in the lemma follows. \Box

On applying the lemma to formula (2.2) for G_n together with justification for inclusions and exclusions of negligible terms, we have

$$G_n \sim e^{-2} \frac{(2n)!}{\sqrt{6\pi n}} \left(\frac{n}{2e}\right)^{n/3} e^{(n/2)^{1/3}} \sum_{\ell,d} \frac{\left(\left(\frac{2}{n}\right)^{2/3}/2\right)^{\ell}}{\ell!d!}.$$
 (2.6)

But the sum in (2.6) is just

$$\exp\left\{1+\left(\frac{2}{n}\right)^{2/3}/2\right\},\,$$

and so

$$G_n \sim e^{-1} \frac{(2n)!}{\sqrt{6\pi n}} \left(\frac{n}{2e}\right)^{n/3} e^{(n/2)^{1/3}}.$$

Note that the contribution to these cfc's built from cubic general graphs with loops is negligible.

Let B_n denote the number of claw-free cubic graphs of order 2nwhose components consist of K_4 's, rings of diamonds and dilations and expansions of triple edges. We call these *exotic* components and set $B_0 = 1$. Of course $B_1 = 0$, $B_2 = 1$ and $B_3 = 60$. Now the total number of *cfc*'s can be expressed in terms of the B_n and G_n :

$$H_{n} = \sum_{k=0}^{n} {\binom{2n}{2k}} B_{k} G_{n-k}.$$
 (2.7)

Our next task is to show that the number of cfc's with exotic components is negligible, i.e.

$$H_n \sim G_n. \tag{2.8}$$

Let $\varphi(z)$ be the egf for cfc's whose components are all exotic, i.e.

$$\varphi(z) = \sum_{n=0}^{\infty} B_n \frac{z^n}{(2n)!}.$$

In [PaRR9x] we showed that the contributions to $\varphi(z)$ made by the components isomorphic to K_4 's and rings of diamonds is

$$\sqrt{b}\exp(-5z^2/24),$$

where

$$b = b(z) = (1 - z^2/2)^{-1}.$$

And the egf for components derived from triple edges is

$$\exp(z^3b^3/12).$$

Thus

$$\varphi(z) = \sqrt{b} \exp(-5z^2/24 + (zb)^3/12).$$

Since $\varphi(z)$ is regular in the complex open disk $|z| < \sqrt{2}$, we know

$$B_n/(2n)! = o(c^n)$$

for any $c > 1/\sqrt{2}$. Hence $B_n/(2n)!$ is bounded above for all n by c^n times a suitable constant. Similarly G_n is bounded above for all n by a suitable constant times its established asymptotic value. Consequently

$$\sum_{k=1}^{n} {\binom{2n}{2k}} \frac{B_k G_{n-k}}{G_n} = o(1) \sum_{k=1}^{n} \left(\frac{2e}{n}\right)^{k/3} \frac{c^k}{(2k)!}$$
$$= o(n^{-1/3}) = o(1).$$

This establishes (2.8) and hence the main theorem stated in the introduction.

3 Direct application of inclusion and exclusion

In this section we apply the method of inclusion and exclusion to count claw-free cubic graphs. We begin with some new notation. Let cfc(k, s, t) be the number of claw-free cubic graphs with k components isomorphic to K_4 , s diamonds and t other triangles. Then the number of nodes is

$$2n = 4k + 4s + 3t,$$

and we define

$$2m = 4s + 3t.$$

Clearly

$$cfc(k,s,t)=rac{1}{k!}igg(rac{2n}{4,\ldots,4,2n-4k}igg) cfc(0,s,t),$$

and so we focus on cfc(0, s, t). The number of ways in which labels can be chosen for the s diamonds and t other triangles is

$$\frac{\binom{2m}{4,\dots,4,3,\dots,3}}{s!t!} \binom{4}{2}^s = \frac{(2m)!}{4^s s! 6^t t!}.$$

Now we must connect the 2s+3t = 2m-2s nodes of degree 2 together using m-s edges. The number of ways to do this is

$$\frac{(2m-2s)!}{2^{m-s}(m-s)!}$$

but some are forbidden. We are not permitted to add an edge between two nodes of a triangle, because a multiple edge results. And we cannot join two nodes in a diamond without creating a K_4 . Let *i* indicate the number of joins of a triangle to itself. Then there are $\binom{t}{i}3^i$ ways to do this. Let *j* be the number of joins of a diamond to itself. Then there are $\binom{s}{i}$ ways for this to happen.

For a particular i + j bad joins, the number of ways to place the remaining edges is

$$\frac{(2m-2s-2i-2j)!}{2^{m-s-i-j}(m-s-i-j)!}$$

Hence by inclusion and exclusion

$$cfc(0, s, t) = \left\{ \sum_{i=0}^{t} \sum_{j=0}^{s} (-1)^{i+j} 3^{i} {t \choose i} {s \choose j} \frac{(2m-2s-2i-2j)!}{2^{m-s-i-j}(m-s-i-j)!} \right\}$$
$$\frac{(2m)!}{4^{s}s! 6^{t}t!}$$
$$= \frac{(2m)!}{4^{s}s! 6^{t}t!} \frac{(2(m-s))!}{2^{m-s}(m-s)!} P(s, t)$$

where

$$P(s,t) = \sum_{i=0}^{t} \sum_{j=0}^{s} (-1)^{i+j} {t \choose i} {s \choose j} 6^{i} 2^{j} \frac{(m-s)_{i+j}}{(2(m-s))_{2i+2j}}.$$
 (3.1)

Since this formula was derived by inclusion and exclusion, the Bonferroni inequalities apply. Note that $m - s \ge m/2$ and so as $m \to \infty$ for $i + j = o(\sqrt{m})$ we have

$$\frac{(m-s)_{i+j}}{(2(m-s))_{2i+2j}} = \frac{1+o(1)}{2^{2i+2j}(m-s)^{i+j}}.$$
(3.2)

Now it can be shown that the sum in (3.1) is dominated by the terms for which (3.2) holds. In fact we can substitute the right side of (3.2) in (3.1) and apply the binomial theorem to obtain

$$P(s,t) \sim \left(1 - \frac{3}{2(m-s)}\right)^t \left(1 - \frac{1}{2(m-s)}\right)^s$$

As $m \to \infty$, we have both

$$t/(m-s)^2 \to 0$$
 and $s/(m-s)^2 \to 0$

and so

$$P(s,t) \sim \exp\left(\frac{-3t}{2(m-s)}\right) \exp\left(\frac{-s}{2(m-s)}\right) = e^{-1+s/(2m-2s)}$$

We summarize these results as follows.

Theorem 3.1. With 2n = 4k + 2m, 2m = 4s + 3t and $m \to \infty$ $cfc(k, s, t) \sim \frac{(2n)!}{(4!)^k (2n - 4k)! k!} \frac{(2m)!}{2^{m-s} (m-s)!} \frac{(2m - 2s)!}{4^s s! 6^t t!} e^{-\frac{2m - 3s}{2m - 2s}}$

10

uniformly over the variables k, s and t.

Now let cfc(m) denote the total number of claw-free cubics with 2m nodes and no components of order 4. Then

$$cfc(m) = \sum cfc(0, s, t)$$

where the sum is over all solutions of 2m = 4s + 3t. In applying the theorem to obtain an asymptotic estimate of cfc(m), it is convenient to assume that 3|m and hence 3|s. The same asymptotic evaluation of cfc(m) can be obtained for other values of m.

Since the approximation in the theorem is uniform in s and t we have

$$cfc(m) \sim \frac{(2m)!}{2^m} \sum_{3|s} \frac{1}{2^s} \frac{(2m-2s)!}{(m-s)!s!6^t t!} e^{-\frac{2m-3s}{2m-2s}}$$
 (3.3)

The sum on the right side of (3.3) is dominated by the values of s for which $s = o(\sqrt{m})$. For these values of s, we also have $t \to \infty$ and so Stirling's formula can be applied to show

$$t! = (1+o(1))\sqrt{2\pi}\sqrt{\frac{2m}{3}} \left(\frac{2m}{3e}\right)^{2m/3} \left(\frac{2m}{3}\right)^{-4s/3}$$

and

$$(m-s)^{m-s} = (1+o(1))\frac{m^{m-s}}{e^s}$$

After some simplification we find that the contribution of the terms for which $s = o(\sqrt{m})$ to the right side of (3.3) is

$$(1+o(1))\frac{(2m)!}{e\sqrt{6\pi m}}\left(\frac{m}{2e}\right)^{m/3} 3\sum_{3|s}\frac{((m/2)^{1/3})^s}{s!}$$

which leads to the same estimate for H_n in the main theorem. As for the terms omitted, Stirling's formula still serves to obtain a suitable upper bound on this remainder to show that they are negligible.

4 Connectedness of claw-free cubics

Let C_n be the number of connected claw-free cubic graphs of order 2n. Then H_n and C_n are related by the following well-known relation (compare (2.7)):

$$H_n = \sum_{k=2}^n \binom{2n}{2k} \frac{k}{n} C_k H_{n-k}$$

Therefore, to show that almost all cfc's are connected, i.e. $H_n \sim C_n$, we need only show that

$$\sum_{k=2}^{n/2} \binom{2n}{2k} H_k H_{n-k} / H_n = o(1)$$
(4.1)

Using the formula (1.3) of our main theorem, Stirling's formula and simple estimates, we find that the left side of (4.1) is

$$O(1)\sum_{k=2}^{n/2} \frac{1}{\sqrt{k}} \left(\frac{k}{n-k} \cdot \exp\{-1+3/\sqrt[3]{2k^2}\}\right)^{k/3}$$

Now this sum is split in two parts according as $k \leq \log n$ or $k > \log n$. Then it can be shown that for $2 \leq k \leq \log n$, the value of the sum is $O(n^{-2/3+\varepsilon})$ and for $\log n < k \leq n/2$ it is $O(n^{-1/3+\varepsilon})$, where $\varepsilon > 0$ is arbitrary. Hence (4.1) is satisfied. We could also establish this using the fact that almost all *cfc*'s are derived from general cubic graphs which are in turn almost surely connected. Furthermore, we could show that the later have almost surely no bridges except those caused by loops. But we have already seen that *cfc*'s almost surely have vertex connectivity $\kappa \geq 2$. Since they have about $(n/2)^{1/3}$ diamonds, we know also that $\kappa < 3$ almost surely.

Corollary 4.1 Almost all claw-free cubic graphs have vertex connectivity $\kappa = 2$.

This result is in contrast with cubic graphs, which have $\kappa = 3$ almost surely [Wo79].

5 Conclusion

Let's see how good our asymptotal is by comparing the estimate with data from [PaRR9x]. For n = 26, our table shows that the number H_{26} of cfc's of order 52 is $10.1603149 \times 10^{72}$ (see (1.2)). On the other hand, the estimate from our theorem is 10.931×10^{72} , which is the right order of magnitude but nevertheless a bit on the high side.

There is another check that can be made. Suppose we assume that for some constants c > 0 and a > 0

$$H_{n+1}/H_{n-1} \sim cn^a.$$

Then for fixed \boldsymbol{k}

$$H_{n-k}/H_{n-1} \sim 1/(\sqrt{cn^{a/2}})^{k-1}.$$

Now on dividing both sides of the recurrence relation (1.1) by H_{n-1} and combining terms we can examine the exponents of the positive terms and find that the only possible solution comes from the second term, which shows that we must have

$$cn^a \sim rac{2^5}{\sqrt{c}}n^{7-a/2}$$

Hence

$$\frac{H_{n+1}}{H_{n-1}} \sim (2^5 n^7)^{2/3},$$

a result which also follows from formula (1.3) of our main theorem.

It also follows easily from our theorem and the formula ((2.3) above with $\ell = d = 0$) of the second author [Re59] for the asymptotic number of cubic graphs that almost all cubic graphs have claws.

Finally we make a few comments about the number U_n of unlabeled *cfc*'s of order *n*. For any graph *G*, labeled or not, let s(G) be the number of automorphisms of *G*. Since the number of ways to label a graph of order 2n is (2n)!/s(G),

$$U_n = \sum s(G)/(2n)!$$

where the sum is over all labeled cfc's of order 2n. We denote the number of diamonds in a cfc by j(G). Then

$$s(G) \ge 2^{j(G)}$$

and

$$U_n \ge \sum 2^{j(G)} / (2n)!$$
 (5.1)

The right side of this inequality can be estimated by using formula (2.4) without the last factor $\left(\frac{1}{2}\right)^{j}$. On summing over ℓ and d as in section 2 the result is the asymptotic estimate

$$\sum 2^{j(G)} / (2n)! \sim \frac{1}{e\sqrt{6\pi n}} \left(\frac{n}{2e}\right)^{n/3} e^{2(\frac{n}{2})^{1/3}}.$$

Of course estimating the right side of (5.1) gives us only a lower bound for U_n . We still have to show that the contributions of all the cases in which s(G) is actually bigger than $2^{j(G)}$ are negligible. This can probably be established using the fact that cfc's are derived from general cubic graphs. Evidently the latter have almost surely the identity group (see [McW84]) for the case involving cubic graphs). Thus the automorphisms of almost all cfc's necessarily come from flipping diamonds.

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